

11/22/00  
00/22/00  
JPC811 U.S. PRO  
09/17/2008  
11/22/00

ASSISTANT COMMISSIONER FOR PATENTS  
PATENT APPLICATION  
Washington, D.C. 20231

Transmitted herewith for filing is the

- patent application of  
 continuation application of  
 continuation-in-part patent application of

- divisional application of  
 prior application information: Examiner M.Pham

Inventor(s)/Applicant Identifier: John Wallace Parce et al.

## For: HIGH THROUGHPUT SCREENING ASSAY SYSTEMS IN MICROSCALE FLUIDIC DEVICES

- For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- This application claims priority from each of the following Application Nos./filing dates: USSN 08/671,987 filed June 28, 1996, now US Patent 5,942,443 and USSN 09/346,660 filed July 1, 1999, the disclosure(s) of which is (are) incorporated by reference.
- Please amend this application by adding the following before the first sentence: "This application is a continuation of USSN 09/346,660 filed July 1, 1999, which is a continuation of USSN 08/671,987 filed June 28, 1996, now US Patent 5,942,443, the disclosures of which are incorporated by reference for all purposes."

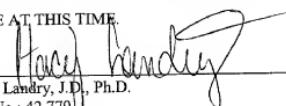
## Enclosed are:

- 53 pages of the description (including specification, claims and abstract)  
 14 sheet(s) of [ ] formal  informal drawing(s)  
 abstract  
 74 number of claims  
 an assignment of the invention to CaliperTechnologies Corporation (copy from parent)  
 a  signed  unsigned Declaration (copy from parent)  
 associate power of Attorney (from parent)  
 Information Disclosure Statement under 37 CFR 1.97  
 Application Data Entry Sheet

- notification of change of  power of attorney and  correspondence address filed in prior application  
 Patent Application Filing Acknowledgement postcard.  
 1 extra copy of this sheet is enclosed  
 a verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27  
 ( ) is enclosed  
 ( ) was filed in the prior application and small entity status is still proper and desired  
 Preliminary amendment, including copy of US Patent 6,103,199 and Table 1

Pursuant to 37 CFR §1.53(f),  
 Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.



Stacy Landry, J.D., Ph.D.  
 Reg No.: 42,779  
 Attorney for Applicant

CORRESPONDENCE ADDRESS:  
**LAW OFFICES OF JONATHAN ALAN QUINE**  
 P.O. Box 458  
 Alameda, CA 94501  
 Telephone: (510) 337-7871  
 Fax: (510) 337-7877

Customer No. 22798  


PATENT TRADEMARK OFFICE

22798

Attorney Docket No.	01-000461US
Client Reference No.	100/00331
Express Mail™ Label No.	EL716084210US
Date of Deposit:	Nov. 23, 2000
I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents Washington, Box Patent Application, D.C. 20231	
By:	Andrew Merit
Andrew Merit	

### **Inventor Information**

Inventor One Given Name:: John Wallace  
Family Name:: Parce  
Postal Address Line One:: 754 Los Robles Avenue  
City:: Palo Alto  
State or Province:: CA  
Country:: US  
Postal or Zip Code:: 94306  
Citizenship Country:: the United States

Inventor Two Given Name:: Anne R.  
Family Name:: Kopf-Sill  
Postal Address Line One:: 30 Minoca Road  
City:: Portola Valley  
State or Province:: CA  
Country:: US  
Postal or Zip Code:: 94028  
Citizenship Country:: the United States

Inventor Three Given Name:: Luc J.  
Family Name:: Bousse  
Postal Address Line One:: 1050 Rilma Lane  
City:: Los Altos  
State or Province:: CA  
Country:: US  
Postal or Zip Code:: 94022  
Citizenship Country:: the United States

### **Correspondence Information**

Correspondence Customer Number:: 22798  
E-mail:: jaquine@quinelaw.com

### **Application Information**

Title Line One:: HIGH THROUGHPUT SCREENING ASSAY  
Title Line Two:: SYSTEMS IN MICROSCALE  
Title Line Three:: FLUIDIC DEVICES  
Total Drawing Sheets:: 14  
Formal Drawings?:: No  
Application Type:: Utility  
Docket Number:: 01-000461US

### **Representative Information**

Representative Customer Number:: 22798

### **Continuity Information**

This application is a:: Continuation of

> Application One:: 09/346,660

Filing Date:: July 1, 1999

Patent Number::

which is a :: Continuation of

>> Application Two:: 08/671,987

Filing Date:: June 28, 1996

Patent Number:: 5,942,443

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below, addressed to: Assistant Commissioner for Patents Washington, Box Patent Application, D.C. 20231

On Nov. 22, 2000

The Law Offices of Jonathan Alan Quine

By Andrew Merit  
Andrew Merit

Attorney Docket No. 01-000461US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

J. Wallace Parce et al.

Application No.: Unassigned

Filed: November 22, 2000

For: High Throughput Screening Assay  
Systems in Microscale Fluidic Devices

Examiner: Unassigned

Art Unit: Unassigned

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, please enter the following amendments and consider the following remarks. Please note that this preliminary amendment copies claims 1-8 and 10-17 from US Patent No. 6,103,199 (the '199 patent) by Bjornson, with certain modifications as noted below. The following documents are submitted herewith:

1. a courtesy copy of US Patent No. 6,103,199 for the Examiner's convenience
2. a continuation application with declaration, assignment, powers of attorney, change of correspondence address (all from parent case)
3. an IDS with 1449 and 892 forms from parent applications USSN 08/671,987 (now U.S. Patent 5,942,443) filed June 28, 1996 and USSN 09/346,660, filed July 1, 1999.
4. Table 1 providing support for copied claims.

IN THE CLAIMS

Please cancel claims 1-74 without prejudice and add new claims 75-90.

Docket No. 01-000461US

75. (New) An apparatus for conducting a microfluidic process, said apparatus comprising:

- (a) a first plate comprising an array of sample access ports adapted for receiving a plurality of samples from an array of sample containers and dispensing said samples; and,
- (b) a second plate integral with said first plate for receiving said dispensed samples, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process.

76. (New) An apparatus for conducting a microfluidic process, said apparatus comprising:

- (a) a first plate comprising an array of sample access ports adapted for receiving a plurality of samples from an array of sample wells; and,
- (b) a second plate integral with said first plate, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process wherein each of said microfluidic networks is adapted for fluid communication with a corresponding sample access port of said first plate.

77. (New) The apparatus of claim 76, wherein each of said sample access ports comprises a reservoir or channel that is in fluid communication with a corresponding capillary adapted to receive samples from one of said sample wells.

78. (New) The apparatus of claim 76, wherein said array of sample wells conforms to the format of a 96, 192, 384, or 1536 well plate.

79. (New) The apparatus of claim 76, wherein each of said microfluidic network comprises:

- (a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample access port; and,

- (b) one or more additional cavity structures in fluid communication with said sample receiving cavity structure.

80. (New) The apparatus of claim 76, wherein each of said microfluidic networks comprises:

- (a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample access port;
- (b) one or more waste cavity structures in fluid communication with said sample receiving cavity structure; and,
- (c) one or more buffer containing structures in fluid communication with said sample receiving cavity structure.

81. (New) The apparatus of claim 78, wherein each of said microfluidic networks of cavity structures and channels comprises a tortuous path.

82. (New) A kit comprising in packaged combination:

- (a) the apparatus of claim 75; and,
- (b) reagents, other than reagents within said apparatus, for processing a sample.

83. (New) A method for processing an array of samples, said method comprising:

- (a) simultaneously transferring at least a portion of each sample in an array of sample wells to a corresponding array of sample access ports that are part of a first plate comprising an array of sample access ports adapted for receiving a plurality of samples from an array of sample wells,
- (b) simultaneously transferring at least a portion of each sample from said sample access ports to a corresponding array of microfluidic networks that is a part of a second plate integral with said first plate, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process wherein each of said

microfluidic networks is adapted for fluid communication with a corresponding sample access port, and

- (c) processing said array of samples.

84. (New) The method of claim 83, wherein said processing comprises conducting an analysis of said samples.

85. (New) The method of claim 83, wherein said processing comprises conducting a chemical synthesis.

86. (New) The method of claim 83, wherein each of said sample access ports comprises a reservoir or channel that is in fluid communication with a corresponding capillary adapted to receive samples from one of said sample wells.

87. (New) The method of claim 83, wherein said array of sample wells conforms to the format of a 96, 192, 384, or 1536 well plate.

88. (New) The method of claim 83, wherein each of said microfluidic networks comprises: (a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample access port; and, (b) one or more additional cavity structure in fluid communication with said sample receiving cavity structure.

89. (New). The method of claim 83, wherein each of said microfluidic networks comprises: (a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample access port; (b) one or more waste cavity structures in fluid communication with said sample receiving cavity structure; and, (c) one or more buffer containing structures in fluid communication with said sample receiving cavity structure.

90. (New) The method of claim 83, wherein each of said microfluidic networks of interconnected cavity structures and channels of capillary dimension comprises a tortuous path.

REMARKS

With this amendment, claims 75-90 are pending in the application. New claims 75-90 introduce no new subject matter. Support for the new claims is replete throughout the specification and claims as originally filed. Examples of specific supporting portions of the applications are indicated below in Table 1.

New claims 75-90 are copied from claims 1-8 and 10-17 of US Patent 6,103,199, with the following modifications.

First, the claims and dependencies are renumbered to conform to claim numbering in the present case and to the claims which are presented.

Second, the wording of certain claims is modified as noted in detail in Table 1.

Table 1, below, sets forth claims from the '199 patent and the present claims along with example support for each claim limitation as found in the present application. Applicants note that the presented claims are fully supported by the specification and claims of the present case, as filed. In addition to the support below, additional support for many, if not all of the limitations, can be found in other portions of the claims and specification as filed.

Therefore, no new matter is added to the specification by the new claims and Applicants respectfully request that the claims be entered.

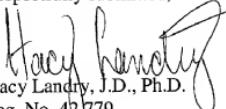
Please note that in Table 1, bracketed language appears in the indicated claim of the '199 patent, but is omitted from the corresponding claim in the present application. Underlined language is present in the new claims presented herewith, but not in the corresponding claim in the '199 patent.

CONCLUSION

In view of the foregoing, Applicants believe that no new matter has been introduced. Early examination on the merits is respectfully requested. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 510-337-7871.

LAW OFFICES OF  
JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

Respectfully submitted,

  
Stacy Landry, J.D., Ph.D.  
Reg. No. 42779

COPY FROM PARENT

PATENT

Attorney Docket No. 017646-000400

5

## HIGH THROUGHPUT SCREENING ASSAY SYSTEMS IN MICROSCALE FLUIDIC DEVICES

### BACKGROUND OF THE INVENTION

There has long been a need for the ability to rapidly assay compounds for their effects on various biological processes. For example, enzymologists have long sought better substrates, better inhibitors or better catalysts for enzymatic reactions. Similarly, in the pharmaceutical industries, attention has been focused on identifying compounds that may block, reduce, or even enhance the interactions between biological molecules. Specifically, in biological systems, the interaction between a receptor and its ligand often may result, either directly or through some downstream event, in either a deleterious or beneficial effect on that system, and consequently, on a patient for whom treatment is sought. Accordingly, researchers have long sought after compounds or mixtures of compounds that can reduce, block or even enhance that interaction.

Modern drug discovery is limited by the throughput of the assays that are used to screen compounds that possess these described effects. In particular, screening of the maximum number of different compounds necessitates reducing the time and labor requirements associated with each screen.

High throughput screening of collections of chemically synthesized molecules and of natural products (such as microbial fermentation broths) has thus played a central role in the search for lead compounds for the development of new pharmacological agents. The remarkable surge of interest in combinatorial chemistry and the associated technologies for generating and evaluating molecular diversity represent significant milestones in the evolution of this paradigm of drug discovery. See Pavia et al., 1993, Bioorg. Med. Chem.

Lett. 3: 387-396, incorporated herein by reference. To date, peptide chemistry has been the principle vehicle for exploring the utility of combinatorial methods in ligand identification. See Jung & Beck-Sickinger, 1992, Angew. Chem. Int. Ed. Engl.

5 31: 367-383, incorporated herein by reference. This may be ascribed to the availability of a large and structurally diverse range of amino acid monomers, a relatively generic, high-yielding solid phase coupling chemistry and the synergy with biological approaches for generating recombinant peptide  
10 libraries. Moreover, the potent and specific biological activities of many low molecular weight peptides make these molecules attractive starting points for therapeutic drug discovery. See Hirschmann, 1991, Angew. Chem. Int. Ed. Engl.  
15 30: 1278-1301, and Wiley & Rich, 1993, Med. Res. Rev. 13: 327-384, each of which is incorporated herein by reference. Unfavorable pharmacodynamic properties such as poor oral bioavailability and rapid clearance in vivo have limited the more widespread development of peptidic compounds as drugs however. This realization has recently inspired workers to extend the concepts of combinatorial organic synthesis beyond peptide chemistry to create libraries of known pharmacophores like benzodiazepines (see Bunin & Ellman, 1992, J. Amer. Chem. Soc. 114: 10997-10998, incorporated herein by reference) as well as polymeric molecules such as oligomeric N-substituted  
20 glycines ("peptoids") and oligocarbamates. See Simon et al., 1992, Proc. Natl. Acad. Sci. USA 89: 9367-9371; Zuckermann et al., 1992, J. Amer. Chem. Soc. 114: 10646-10647; and Cho et al., 1993, Science 261:1303-1305, each of which is incorporated herein by reference.

25 30 In similar developments, much as modern combinatorial chemistry has resulted in a dramatic increase in the number of test compounds that may be screened, human genome research has also uncovered large numbers of new target molecules against which the efficacy of test compounds may be screened.

35 Despite the improvements achieved using parallel screening methods and other technological advances, such as robotics and high throughput detection systems, current

002774-00572/60

screening methods still have a number of associated problems. For example, screening large numbers of samples using existing parallel screening methods have high space requirements to accommodate the samples and equipment, e.g., robotics, etc., 5 high costs associated with that equipment, and high reagent requirements necessary for performing the assays. Additionally, in many cases, reaction volumes must be very small to account for the small amounts of the test compounds 10 that are available. Such small volumes compound errors associated with fluid handling and measurement, e.g., evaporation. Additionally, fluid handling equipment and methods have typically been unable to handle these volume ranges with any acceptable level of accuracy due in part to surface tension effects in such small volumes.

15 The development of systems to address these problems must consider a variety of aspects of the assay process. Such aspects include target and compound sources, test compound and target handling, specific assay requirements, and data acquisition, reduction storage and analysis. In particular, 20 there exists a need for high throughput screening methods and associated equipment and devices that are capable of performing repeated, accurate assay screens, and operating at very small volumes.

25 The present invention meets these and a variety of other needs. In particular, the present invention provides novel methods and apparatuses for performing screening assays which address and provide meaningful solutions to these problems.

30 **SUMMARY OF THE INVENTION**

The present invention generally provides methods of screening a plurality of test compounds for an effect on a biochemical system. These methods typically utilize microfabricated substrates which have at least a first 35 surface, and at least two intersecting channels fabricated into that first surface. At least one of the intersecting channels will have at least one cross-sectional dimension in a range from 0.1 to 500  $\mu\text{m}$ . The methods involve flowing a first

component of a biochemical system in a first of the at least two intersecting channels. At least a first test compound is flowed from a second channel into the first channel whereby the test compound contacts the first component of the  
5 biochemical system. An effect of the test compound on the biochemical system is then detected.

In a related aspect, the method comprises continuously flowing the first component of a biochemical system in the first channel of the at least two intersecting channels. Different test compounds are periodically introduced into the first channel from a second channel. The  
10 effect, if any, of the test compound on the biochemical system is then detected.

In an alternative aspect the methods utilize a substrate having at least a first surface with a plurality of reaction channels fabricated into the first surface. Each of the plurality of reaction channels is fluidly connected to at least two transverse channels also fabricated in the surface. The at least a first component of a biochemical system is introduced into the plurality of reaction channels, and a plurality of different test compounds is flowed through at least one of the at least two transverse channels. Further, each of the plurality of test compounds is introduced into the transverse channel in a discrete volume. Each of the  
15 plurality of different test compounds is directed into a separate reaction channel and the effect of each of test compounds on the biochemical system is then detected.

The present invention also provides apparatuses for practicing the above methods. In one aspect, the present invention provides an apparatus for screening test compounds for an effect on a biochemical system. The device comprises a substrate having at least one surface with at least two intersecting channels fabricated into the surface. The at least two intersecting channels have at least one cross-sectional dimension in the range from about 0.1 to about 500  $\mu\text{m}$ . The device also comprises a source of different test compounds fluidly connected to a first of the at least two intersecting channels, and a source of at least one component  
20  
25  
30  
35

0022747-00051260

of the biochemical system fluidly connected to a second of the at least two intersecting channels. Also included are fluid direction systems for flowing the at least one component within the intersecting channels, and for introducing the 5 different test compounds from the first to the second of the intersecting channels. The apparatus also comprises a detection zone in the second channel for detecting an effect of said test compound on said biochemical system.

In preferred aspects, the apparatus of the invention 10 includes a fluid direction system which comprises at least three electrodes, each electrode being in electrical contact with the at least two intersecting channels on a different side of an intersection formed by the at least two intersecting channels. The fluid direction system also 15 includes a control system for concomitantly applying a variable voltage at each of the electrodes, whereby movement of the test compounds or the at least first component in the at least two intersecting channels may be controlled.

In another aspect, the present invention provides an 20 apparatus for detecting an effect of a test compound on a biochemical system, comprising a substrate having at least one surface with a plurality of reaction channels fabricated into the surface. The apparatus also has at least two transverse channels fabricated into the surface, wherein each of the 25 plurality of reaction channels is fluidly connected to a first of the at least two transverse channels at a first point in each of the reaction channels, and fluidly connected to a second transverse channel at a second point in each of the reaction channels. The apparatus further includes a source of 30 at least one component of the biochemical system fluidly connected to each of the reaction channels, a source of test compounds fluidly connected to the first of the transverse channels, and a fluid direction system for controlling movement of the test compound and the first component within 35 the transverse channels and the plurality reaction channels. As above, the apparatuses also include a detection zone in the second transverse channel for detecting an effect of the test compound on the biochemical system.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic illustration of one embodiment of a microlaboratory screening assay system of the present invention which can be used in running a continuous flow assay system.

Figures 2A and 2B show a schematic illustration of the apparatus shown in Figure 1, operating in alternate assay systems. Figure 2A shows a system used for screening effectors of an enzyme-substrate interaction. Figure 2B illustrates the use of the apparatus in screening effectors of receptor-ligand interactions.

Figure 3 is a schematic illustration of a "serial input parallel reaction" microlaboratory assay system in which compounds to be screened are serially introduced into the device but then screened in a parallel orientation within the device.

Figures 4A-4F show a schematic illustration of the operation of the device shown in Figure 3, in screening a plurality of bead based test compounds.

Figure 5 shows a schematic illustration of a continuous flow assay device incorporating a sample shunt for performing prolonged incubation followed by a separation step.

Figure 6A shows a schematic illustration of a serial input parallel reaction device for use with fluid based test compounds. Figures 6B and 6C show a schematic illustration of fluid flow patterns within the device shown in figure 6A.

Figure 7 shows a schematic illustration of one embodiment of an overall assay systems which employs multiple microlaboratory devices labeled as "LabChips" for screening test compounds.

Figure 8 illustrates the parameters of a fluid flow system on a small chip device for performing enzyme inhibitor screening.

Figure 9 shows a schematic illustration of timing for sample/spacer loading in a microfluidic device channel.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

I. General

The present invention provides novel microlaboratory systems and methods that are useful for performing high-throughput screening assays. In particular, the present invention provides microfluidic devices and methods of using such devices that are useful in screening large numbers of different compounds for their effects on a variety of chemical, and preferably, biochemical systems.

As used herein, the phrase "biochemical system" generally refers to a chemical interaction that involves molecules of the type generally found within living organisms. Such interactions include the full range of catabolic and anabolic reactions which occur in living systems including enzymatic, binding, signalling and other reactions. Further, biochemical systems, as defined herein, will also include model systems which are mimetic of a particular biochemical interaction. Examples of biochemical systems of particular interest in practicing the present invention include, e.g., receptor-ligand interactions, enzyme-substrate interactions, cellular signaling pathways, transport reactions involving model barrier systems (e.g., cells or membrane fractions) for bioavailability screening, and a variety of other general systems. Cellular or organismal viability or activity may also be screened using the methods and apparatuses of the present invention, i.e., in toxicology studies.

In order to provide methods and devices for screening compounds for effects on biochemical systems, the present invention generally incorporates model *in vitro* systems which mimic a given biochemical system *in vivo* for which effector compounds are desired. The range of systems against which compounds can be screened and for which effector compounds are desired, is extensive. For example, compounds may be screened for effects in blocking, slowing or otherwise inhibiting key events associated with biochemical systems whose effect is undesirable. For example, test compounds may be screened for their ability to block systems that are responsible, at least in part, for the onset of disease or for

RECEIVED - GOVERNMENT

the occurrence of particular symptoms of diseases, including, e.g., hereditary diseases, cancer, bacterial or viral infections and the like. Compounds which show promising results in these screening assay methods can then be subjected to further testing to identify effective pharmacological agents for the treatment of disease or symptoms of a disease.

Alternatively, compounds can be screened for their ability to stimulate, enhance or otherwise induce biochemical systems whose function is believed to be desirable, e.g., to remedy existing deficiencies in a patient.

Once a model system is selected, batteries of test compounds can then be applied against these model systems. By identifying those test compounds that have an effect on the particular biochemical system, *in vitro*, one can identify potential effectors of that system, *in vivo*.

In their simplest forms, the biochemical system models employed in the methods and apparatuses of the present invention will screen for an effect of a test compound on an interaction between two components of a biochemical system, e.g., receptor-ligand interaction, enzyme-substrate interaction, and the like. In this form, the biochemical system model will typically include the two normally interacting components of the system for which an effector is sought, e.g., the receptor and its ligand or the enzyme and its substrate.

Determining whether a test compound has an effect on this interaction then involves contacting the system with the test compound and assaying for the functioning of the system, e.g., receptor-ligand binding or substrate turnover. The assayed function is then compared to a control, e.g., the same reaction in the absence of the test compound or in the presence of a known effector.

Although described in terms of two-component biochemical systems, the methods and apparatuses may also be used to screen for effectors of much more complex systems where the result or end product of the system is known and assayable at some level, e.g., enzymatic pathways, cell signaling pathways and the like. Alternatively, the methods

and apparatuses described herein may be used to screen for compounds that interact with a single component of a biochemical system, e.g., compounds that specifically bind to a particular biochemical compound, e.g., a receptor, ligand, enzyme, nucleic acid, structural macromolecule, etc.

Biochemical system models may also be embodied in whole cell systems. For example, where one is seeking to screen test compounds for an effect on a cellular response, whole cells may be utilized. Modified cell systems may also be employed in the screening systems encompassed herein. For example, chimeric reporter systems may be employed as indicators of an effect of a test compound on a particular biochemical system. Chimeric reporter systems typically incorporate a heterogenous reporter system integrated into a signaling pathway which signals the binding of a receptor to its ligand. For example, a receptor may be fused to a heterologous protein, e.g., an enzyme whose activity is readily assayable. Activation of the receptor by ligand binding then activates the heterologous protein which then allows for detection. Thus, the surrogate reporter system produces an event or signal which is readily detectable, thereby providing an assay for receptor/ligand binding. Examples of such chimeric reporter systems have been previously described in the art.

Additionally, where one is screening for bioavailability, e.g., transport, biological barriers may be included. The term "biological barriers" generally refers to cellular or membranous layers within biological systems, or synthetic models thereof. Examples of such biological barriers include the epithelial and endothelial layers, e.g. vascular endothelia and the like.

Biological responses are often triggered and/or controlled by the binding of a receptor to its ligand. For example, interaction of growth factors, i.e., EGF, FGF, PDGF, etc., with their receptors stimulates a wide variety of biological responses including, e.g., cell proliferation and differentiation, activation of mediating enzymes, stimulation of messenger turnover, alterations in ion fluxes, activation

Docket No. 80574-2260

of enzymes, changes in cell shape and the alteration in genetic expression levels. Accordingly, control of the interaction of the receptor and its ligand may offer control of the biological responses caused by that interaction.

Accordingly, in one aspect, the present invention will be useful in screening for compounds that affect an interaction between a receptor molecule and its ligands. As used herein, the term "receptor" generally refers to one member of a pair of compounds which specifically recognize and bind to each other. The other member of the pair is termed a "ligand." Thus, a receptor/ligand pair may include a typical protein receptor, usually membrane associated, and its natural ligand, e.g., another protein or small molecule. Receptor/ligand pairs may also include antibody/antigen binding pairs, complementary nucleic acids, nucleic acid associating proteins and their nucleic acid ligands. A large number of specifically associating biochemical compounds are well known in the art and can be utilized in practicing the present invention.

Traditionally, methods for screening for effectors of a receptor/ligand interaction have involved incubating a receptor/ligand binding pair in the presence of a test compound. The level of binding of the receptor/ligand pair is then compared to negative and/or positive controls. Where a decrease in normal binding is seen, the test compound is determined to be an inhibitor of the receptor/ligand binding. Where an increase in that binding is seen, the test compound is determined to be an enhancer or inducer of the interaction.

In the interest of efficiency, screening assays have typically been set up in multiwell reaction plates, e.g., multi-well microplates, which allow for the simultaneous, parallel screening of large numbers of test compounds.

A similar, and perhaps overlapping, set of biochemical systems includes the interactions between enzymes and their substrates. The term "enzyme" as used herein, generally refers to a protein which acts as a catalyst to induce a chemical change in other compounds or "substrates."

Typically, effectors of an enzyme's activity toward its substrate are screened by contacting the enzyme with a substrate in the presence and absence of the compound to be screened and under conditions optimal for detecting changes in the enzyme's activity. After a set time for reaction, the mixture is assayed for the presence of reaction products or a decrease in the amount of substrate. The amount of substrate that has been catalyzed is then compared to a control, i.e., 5 enzyme contacted with substrate in the absence of test compound or presence of a known effector. As above, a compound that reduces the enzymes activity toward its substrate is termed an "inhibitor," whereas a compound that accentuates that activity is termed an "inducer."

Generally, the various screening methods encompassed by the present invention involve the serial introduction of a plurality of test compounds into a microfluidic device. Once injected into the device, the test compound may be screened for effect on a biological system using a continuous serial or parallel assay orientation.

As used herein, the term "test compound" refers to the collection of compounds that are to be screened for their ability to affect a particular biochemical system. Test compounds may include a wide variety of different compounds, including chemical compounds, mixtures of chemical compounds, e.g., polysaccharides, small organic or inorganic molecules, 15 biological macromolecules, e.g., peptides, proteins, nucleic acids, or an extract made from biological materials such as bacteria, plants, fungi, or animal cells or tissues, naturally occurring or synthetic compositions. Depending upon the 20 particular embodiment being practiced, the test compounds may be provided, e.g., injected, free in solution, or may be attached to a carrier, or a solid support, e.g., beads. A number of suitable solid supports may be employed for immobilization of the test compounds. Examples of suitable 25 solid supports include agarose, cellulose, dextran (commercially available as, i.e., Sephadex, Sepharose) carboxymethyl cellulose, polystyrene, polyethylene glycol (PEG), filter paper, nitrocellulose, ion exchange resins,

plastic films, glass beads, polyaminemethylvinylether maleic acid copolymer, amino acid copolymer, ethylene-maleic acid copolymer, nylon, silk, etc. Additionally, for the methods and apparatuses described herein, test compounds may be  
5 screened individually, or in groups. Group screening is particularly useful where hit rates for effective test compounds are expected to be low such that one would not expect more than one positive result for a given group.

10      II. Assay Systems

As described above, the screening methods of the present invention are generally carried out in microfluidic devices or "microlaboratory systems," which allow for integration of the elements required for performing the assay, automation, and minimal environmental effects on the assay system, e.g., evaporation, contamination, human error. A number of devices for carrying out the assay methods of the invention are described in substantial detail below. However, it will be recognized that the specific configuration of these devices will generally vary depending upon the type of assay and/or assay orientation desired. For example, in some embodiments, the screening methods of the invention can be carried out using a microfluidic device having two intersecting channels. For more complex assays or assay orientations, multichannel/intersection devices may be employed. The small scale, integratability and self-contained nature of these devices allows for virtually any assay orientation to be realized within the context of the microlaboratory system.

25      A. Continuous Flow Assay Systems

In one preferred aspect, the methods and apparatuses of the invention are used in screening test compounds using a continuous flow assay system. Generally, the continuous flow assay system can be readily used in screening for inhibitors or inducers of enzymatic activity, or for agonists or antagonists of receptor-ligand binding. In brief, the continuous flow assay system involves the continuous flow of the particular biochemical system along a microfabricated

channel. As used herein, the term "continuous" generally refers to an unbroken or contiguous stream of the particular composition that is being continuously flowed. For example, a continuous flow may include a constant fluid flow having a set velocity, or alternatively, a fluid flow which includes pauses in the flow rate of the overall system, such that the pause does not otherwise interrupt the flow stream. The functioning of the system is indicated by the production of a detectable event or signal. Typically, such detectable signals will include chromophoric or fluorescent signals that are associated with the functioning of the particular model system used. For enzyme systems, such signals will generally be produced by products of the enzyme's catalytic action, e.g., on a chromogenic or fluorogenic substrate. For binding systems, e.g., receptor ligand interactions, signals will typically involve the association of a labeled ligand with the receptor, or vice versa.

In preferred aspects, the continuous system generates a constant signal which varies only when a test compound is introduced that affects the system. Specifically, as the system components flow along the channel, they will produce a relatively constant signal level at a detection zone or window of the channel. Test compounds are periodically introduced into the channel and mixed with the system components. Where those test compounds have an effect on the system, it will cause a deviation from the constant signal level at the detection window. This deviation may then be correlated to the particular test compound screened.

One embodiment of a device for use in a serial or continuous assay geometry is shown in Figure 1. As shown, the overall device 100 is fabricated in a planar substrate 102. Suitable substrate materials are generally selected based upon their compatibility with the conditions present in the particular operation to be performed by the device. Such conditions can include extremes of pH, temperature, salt concentration, and application of electrical fields. Additionally, substrate materials are also selected for their

inertness to critical components of an analysis or synthesis to be carried out by the device.

Examples of useful substrate materials include, e.g., glass, quartz and silicon as well as polymeric substrates, e.g. plastics. In the case of conductive or semi-conductive substrates, it will generally be desirable to include an insulating layer on the substrate. This is particularly important where the device incorporates electrical elements, e.g., electrical fluid direction systems, sensors and the like. In the case of polymeric substrates, the substrate materials may be rigid, semi-rigid, or non-rigid, opaque, semi-opaque or transparent, depending upon the use for which they are intended. For example, devices which include an optical or visual detection element, will generally be fabricated, at least in part, from transparent materials to allow, or at least, facilitate that detection. Alternatively, transparent windows of, e.g., glass or quartz, may be incorporated into the device for these types detection elements. Additionally, the polymeric materials may have linear or branched backbones, and may be crosslinked or non-crosslinked. Examples of particularly preferred polymeric materials include, e.g., polydimethylsiloxanes (PDMS), polyurethane, polyvinylchloride (PVC) polystyrene, polysulfone, polycarbonate and the like.

The device shown in Figure 1 includes a series of channels 110, 112, and optional reagent channel 114, fabricated into the surface of the substrate. At least one of these channels will typically have very small cross sectional dimensions, e.g., in the range of from about 0.1  $\mu\text{m}$  to about 500  $\mu\text{m}$ . Preferably the cross-sectional dimensions of the channels will be in the range of from about 0.1 to about 200  $\mu\text{m}$  and more preferably in the range of from about 0.1 to about 100  $\mu\text{m}$ . In particularly preferred aspects, each of the channels will have at least one cross-sectional dimension in the range of from about 0.1  $\mu\text{m}$  to about 100  $\mu\text{m}$ . Although generally shown as straight channels, it will be appreciated that in order to maximize the use of space on a substrate,

00724503 - 14250000

serpentine, saw tooth or other channel geometries, to incorporate effectively longer channels in shorter distances.

Manufacturing of these microscale elements into the surface of the substrates may generally be carried out by any number of microfabrication techniques that are well known in the art. For example, lithographic techniques may be employed in fabricating, e.g., glass, quartz or silicon substrates, using methods well known in the semiconductor manufacturing industries such as photolithographic etching, plasma etching or wet chemical etching. Alternatively, micromachining methods such as laser drilling, micromilling and the like may be employed. Similarly, for polymeric substrates, well known manufacturing techniques may also be used. These techniques include injection molding or stamp molding methods where large numbers of substrates may be produced using, e.g., rolling stamps to produce large sheets of microscale substrates or polymer microcasting techniques where the substrate is polymerized within a micromachined mold.

The devices will typically include an additional planar element which overlays the channeled substrate enclosing and fluidly sealing the various channels to form conduits. Attaching the planar cover element may be achieved by a variety of means, including, e.g., thermal bonding, adhesives or, in the case of certain substrates, e.g., glass, or semi-rigid and non-rigid polymeric substrates, a natural adhesion between the two components. The planar cover element may additionally be provided with access ports and/or reservoirs for introducing the various fluid elements needed for a particular screen.

The device shown in Figure 1 also includes reservoirs 104, 106 and 108, disposed and fluidly connected at the ends of the channels 110 and 114. As shown, sample channel 112, is used to introduce the plurality of different test compounds into the device. As such, this channel will generally be fluidly connected to a source of large numbers of separate test compounds that will be individually introduced into the sample channel 112 and subsequently into channel 110.

The introduction of large numbers of individual, discrete volumes of test compounds into the sample may be carried out by a number of methods. For example, micropipettors may be used to introduce the test compounds 5 into the device. In preferred aspects, an electropipettor may be used which is fluidly connected to sample channel 112. An example of such an electropipettor is described in, e.g., U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ (Attorney Docket No. 017646-000500) the disclosure of which is hereby incorporated herein by reference in its entirety for 10 all purposes. Generally, this electropipettor utilizes electroosmotic fluid direction as described herein, to alternately sample a number of test compounds and spacer compounds. The pipettor then delivers individual, physically 15 isolated sample or test compound volumes, in series, into the sample channel for subsequent manipulation within the device. Individual samples are typically separated by a slug of low ionic strength spacer fluid. These low ionic strength spacers have higher voltage drop over the length of the plug, thereby driving the electrokinetic pumping. On either side of the 20 sample plug, which is typically in higher ionic strength solution, are fluid plugs referred to as guard plugs or bands at the interface of the sample plug. These guard bands typically comprise a high ionic strength solution to prevent migration of the sample elements into the spacer fluid band, resulting in electrophoretic bias. The use of such guard 25 bands is described in greater detail in U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, (Attorney Docket No. 017646-000500) which is incorporated herein by reference.

Alternatively, the sample channel 112 may be individually fluidly connected to a plurality of separate reservoirs via separate channels. The separate reservoirs each contain a separate test compound with additional 35 reservoirs being provided for appropriate spacer compounds. The test compounds and/or spacer compounds are then transported from the various reservoirs into the sample channels using appropriate fluid direction schemes. In either

case, it generally is desirable to separate the discrete sample volumes, or test compounds, with an appropriate spacer buffer.

As shown, the device also includes a detection window or zone 116 at which a signal from the biochemical system may be monitored. This detection window typically will include a transparent cover allowing visual or optical observation and detection of the assay results, e.g., observation of a colorometric or fluorometric response.

In particularly preferred aspects, monitoring of the signals at the detection window is achieved using an optical detection system. For example, fluorescence based signals are typically monitored using, e.g., laser activated fluorescence detection systems which employ a laser light source at an appropriate wavelength for activating the fluorescent indicator within the system. Fluorescence is then detected using an appropriate detector element, e.g., a photomultiplier tube (PMT). Similarly, for screens employing colorometric signals, spectrophotometric detection systems may be employed which direct a light source at the sample and provide a measurement of absorbance or transmissivity of the sample.

In alternative aspects, the detection system may comprise a non-optical detectors or sensors for detecting a particular characteristic of the system disposed within detection window 116. Such sensors may include temperature, conductivity, potentiometric (pH, ions), amperometric (for compounds that may be oxidized or reduced, e.g., O<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, I<sub>2</sub>, oxidizable/reducible organic compounds, and the like).

In operation, a fluid first component of a biological system, e.g., a receptor or enzyme, is placed in reservoir 104. The first component is flowed through main channel 110, past the detection window, 116, and toward waste reservoir 108. A second component of the biochemical system, e.g., a ligand or substrate, is concurrently flowed into the main channel 110 from the side channel 114, whereupon the first and second components mix and are able to interact. Deposition of these elements within the device may be carried out in a number of ways. For example, the enzyme and

substrate, or receptor and ligand solutions can be introduced into the device through sealable access ports in the planar cover. Alternatively, these components may be added to their respective reservoirs during manufacture of the device. In the case of such pre-added components, it may be desirable to provide these components in a stabilized form to allow for prolonged shelf-life of the device. For example, the enzyme/substrate or receptor/ligand components may be provided within the device in lyophilized form. Prior to use, these components may be easily reconstituted by introducing a buffer solution into the reservoirs. Alternatively, the components may be lyophilized with appropriate buffering salts, whereby simple water addition is all that is required for reconstitution.

As noted above, the interaction of the first and second components is typically accompanied by a detectable signal. For example, in those embodiments where the first component is an enzyme and the second a substrate, the substrate may be a chromogenic or fluorogenic substrate which produces an optically detectable signal when the enzyme acts upon the substrate. In the case where the first component is a receptor and the second is a ligand, either the ligand or the receptor may bear a detectable signal. In either event, the mixture and flow rate of compounds will typically remain constant such that the flow of the mixture of the first and second components past the detection window 116 will produce a steady-state signal. By "steady state signal" is generally meant a signal that has a regular, predictable signal intensity profile. As such, the steady-state signal may include signals having a constant signal intensity, or alternatively, a signal with a regular periodic intensity, against which variations in the normal signal profile may be measured. This latter signal may be generated in cases where fluid flow is periodically interrupted for, e.g., loading additional test compounds, as described in the description of the continuous flow systems. Although the signal produced in the above-described enzymatic system will vary along the length of the channel, i.e., increasing with time of exposure

as the enzyme converts the fluorogenic substrate to the fluorescent product, the signal at any specific point along the channel will remain constant, given a constant flow rate.

From sample channel 112, test compounds may be periodically or serially introduced into the main channel 110 and into the stream of first and second components. Where these test compounds have an effect on the interaction of the first and second elements, it will produce a deviation in the signal detected at the detection window. As noted above, typically, the various different test compounds to be injected through channel 112 will be separated by a spacer fluid to allow differentiation of the effects, or lack of effects, from one test compound to another. In those embodiments where electroosmotic fluid direction systems are employed, the spacer fluids may also function to reduce any electrophoretic bias that can occur within the test sample. The use of these spacer fluids as well as the general elimination of electrophoretic bias within the sample or test compound plugs is substantially described in U.S. Patent Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ (Attorney Docket No. 017646-000500) previously incorporated herein by reference.

By way of example, a steady, continuous flow of enzyme and fluorogenic substrate through main channel 110 will produce a constant fluorescent signal at the detection window 116. Where a test compound inhibits the enzyme, it will produce a momentary but detectable drop in the level of signal at the detection window. The timing of the drop in signal can then be correlated with a particular test compound based upon a known injection to detection time-frame. Specifically, the time required for an injected compound to produce an observed effect can be readily determined using positive controls.

For receptor/ligand systems, a similar variation in the steady state signal may also be observed. Specifically, the receptor and its fluorescent ligand can be made to have different flow rates along the channel. This can be accomplished by incorporating size exclusion matrices within the channel, or, in the case of electroosmotic methods, altering the relative electrophoretic mobility of the two

compounds so that the receptor flows more rapidly down the channel. Again, this may be accomplished through the use of size exclusion matrices, or through the use of different surface charges in the channel which will result in differential flow rates of charge-varied compounds. Where a test compound binds to the receptor, it will result in a dark pulse in the fluorescent signal followed by a brighter pulse. Without being bound to a particular theory of operation, it is believed that the steady state signal is a result of both free fluorescent ligand, and fluorescent ligand bound to the receptor. The bound ligand is traveling at the same flow rate as the receptor while the unbound ligand is traveling more slowly. Where the test compound inhibits the receptor-ligand interaction, the receptor will not 'bring along' the fluorescent ligand, thereby diluting the fluorescent ligand in the direction of flow, and leaving an excess of free fluorescent ligand behind. This results in a temporary reduction in the steady-state signal, followed by a temporary increase in fluorescence. Alternatively, schemes similar to those employed for the enzymatic system may be employed, where there is a signal that reflects the interaction of the receptor with its ligand. For example, pH indicators which indicate pH effects of receptor-ligand binding may be incorporated into the device along with the biochemical system, i.e., in the form of encapsulated cells, whereby slight pH changes resulting from binding can be detected. See Weaver, et al., *Bio/Technology* (1988) 6:1084-1089. Additionally, one can monitor activation of enzymes resulting from receptor ligand binding, e.g., activation of kinases, or detect conformational changes in such enzymes upon activation, e.g., through incorporation of a fluorophore which is activated or quenched by the conformational change to the enzyme upon activation.

Flowing and direction of fluids within the microscale fluidic devices may be carried out by a variety of methods. For example, the devices may include integrated microfluidic structures, such as micropumps and microvalves, or external elements, e.g., pumps and switching valves, for

the pumping and direction of the various fluids through the device. Examples of microfluidic structures are described in, e.g., U.S. Patent Nos. 5,271,724, 5,277,556, 5,171,132, and 5,375,979. See also, Published U.K. Patent Application No. 2 5 248 891 and Published European Patent Application No. 568 902.

Although microfabricated fluid pumping and valving systems may be readily employed in the devices of the invention, the cost and complexity associated with their manufacture and operation can generally prohibit their use in 10 mass-produced disposable devices as are envisioned by the present invention. For that reason, in particularly preferred aspects, the devices of the invention will typically include an electroosmotic fluid direction system. Such fluid direction systems combine the elegance a fluid direction system devoid of moving parts, with an ease of manufacturing, 15 fluid control and disposability. Examples of particularly preferred electroosmotic fluid direction systems include, e.g., those described in International Patent Application No. WO 96/04547 to Ramsey et al., which is incorporated herein by reference in its entirety for all purposes.

In brief, these fluidic control systems typically include electrodes disposed within the reservoirs that are placed in fluid connection with the plurality of intersecting channels fabricated into the surface of the substrate. The 25 materials stored in the reservoirs are transported through the channel system delivering appropriate volumes of the various materials to one or more regions on the substrate in order to carry out a desired screening assay.

Fluid transport and direction is accomplished 30 through electroosmosis or electrokinetics. In brief, when an appropriate fluid is placed in a channel or other fluid conduit having functional groups present at the surface, those groups can ionize. For example, where the surface of the channel includes hydroxyl functional groups at the surface, 35 protons can leave the surface of the channel and enter the fluid. Under such conditions, the surface will possess a net negative charge, whereas the fluid will possess an excess of protons or positive charge, particularly localized near the

interface between the channel surface and the fluid. By applying an electric field along the length of the channel, cations will flow toward the negative electrode. Movement of the positively charged species in the fluid pulls the solvent with them. The steady state velocity of this fluid movement 5 is generally given by the equation:

$$v = \frac{\epsilon \xi E}{4\pi\eta}$$

10

where  $v$  is the solvent velocity,  $\epsilon$  is the dielectric constant of the fluid,  $\xi$  is the zeta potential of the surface,  $E$  is the electric field strength, and  $\eta$  is the solvent viscosity. Thus, as can be easily seen from this equation, the solvent 15 velocity is directly proportional to the surface potential.

To provide appropriate electric fields, the system generally includes a voltage controller that is capable of applying selectable voltage levels, simultaneously, to each of the reservoirs, including ground. Such a voltage controller 20 can be implemented using multiple voltage dividers and multiple relays to obtain the selectable voltage levels. Alternatively, multiple, independent voltage sources may be used. The voltage controller is electrically connected to each of the reservoirs via an electrode positioned or 25 fabricated within each of the plurality of reservoirs.

Incorporating this electroosmotic fluid direction system into the device shown in Figure 1 involves incorporation of an electrode within each of the reservoirs 104, 106 and 108, and at the terminus of sample channel 112 or 30 at the terminus of any fluid channels connected thereto, whereby the electrode is in electrical contact with the fluid disposed in the respective reservoir or channel. Substrate materials are also selected to produce channels having a desired surface charge. In the case of glass substrates, the etched channels will possess a net negative charge resulting from the ionized hydroxyls naturally present at the surface. Alternatively, surface modifications may be employed to 35 provide an appropriate surface charge, e.g., coatings,

derivationization, e.g., silanation, or impregnation of the surface to provide appropriately charged groups on the surface. Examples of such treatments are described in, e.g., Provisional Patent Application Serial No. \_\_\_\_\_, filed 5 April 16, 1996 (Attorney Docket No. 017646-002600) which is hereby incorporated herein by reference in its entirety for all purposes.

Modulating voltages are then concomitantly applied to the various reservoirs to affect a desired fluid flow 10 characteristic, e.g., continuous flow of receptor/enzyme, ligand/substrate toward the waste reservoir with the periodic introduction of test compounds. Particularly, modulation of the voltages applied at the various reservoirs can move and direct fluid flow through the interconnected channel structure 15 of the device in a controlled manner to effect the fluid flow for the desired screening assay and apparatus.

Figure 2A shows a schematic illustration of fluid direction during a typical assay screen. Specifically, shown 20 is the injection of a test compound into a continuous stream of an enzyme-fluorogenic substrate mixture. As shown in Figure 2A, and with reference to Figure 1, a continuous stream of enzyme is flowed from reservoir 104, along main channel 110. Test compounds 120, separated by appropriate fluid spacers 121 are introduced from sample channel 112 into main 25 channel 110. Once introduced into the main channel, the test compounds will interact with the flowing enzyme stream. The mixed enzyme/test compound plugs are then flowed along main channel 110 past the intersection with channel 114. A continuous stream of fluorogenic or chromogenic substrate 30 which is contained in reservoir 106, is introduced into sample channel 110, whereupon it contacts and mixes with the continuous stream of enzyme, including the discrete portions (or "plugs") of the stream which include the test compounds 122. Action of the enzyme upon the substrate will produce an increasing level of the fluorescent or chromatic signal. This 35 increasing signal is indicated by the increasing shading within the main channel as it approaches the detection window. This signal trend will also occur within those test compound

plugs which have no effect on the enzyme/substrate interaction, e.g., test compound 126. Where a test compound does have an effect on the interaction of the enzyme and the substrate, a variation will appear in the signal produced.

5 For example, assuming a fluorogenic substrate, a test compound which inhibits the interaction of the enzyme with its substrate will result in less fluorescent product being produced within that plug. This will result in a non-fluorescent, or detectably less fluorescent, plug within the  
10 flowing stream as it passes detection window 116. For example, as shown, test compound 128, a putative inhibitor of the enzyme-substrate interaction, shows detectably lower fluorescence than the surrounding stream. This is indicated by a lack of shading of test compound plug 128.

15 A detector adjacent to the detection window monitors the level of fluorescent signal being produced by the enzyme's activity on the fluorogenic or chromogenic substrate. This signal remains at a relatively constant level for those test compounds which have no effect on the enzyme-substrate  
20 interaction. When an inhibitory compound is screened, however, it will produce a momentary drop in the fluorescent signal representing the reduced or inhibited enzyme activity toward the substrate. Conversely, inducer compounds upon screening, will produce a momentary increase in the  
25 fluorescent signal, corresponding to the increased enzyme activity toward the substrate.

Figure 2B provides a similar schematic illustration of a screen for effectors of a receptor-ligand interaction. As in Figure 2A, a continuous stream of receptor is flowed from reservoir 104 through main channel 110. Test compounds 30 separated by appropriate spacer fluids 121 are introduced into the main channel 110 from sample channel 112, and a continuous stream of fluorescent ligand from reservoir 106 is introduced from side channel 114. Fluorescence is indicated 35 by shading within the channel. As in Figure 2A, the continuous stream of fluorescent ligand and receptor past the detection window 116 will provide a constant signal intensity. The portions of the stream containing the test compounds which

have no effect on the receptor-ligand interaction will provide the same or similar level of fluorescence as the rest of the surrounding stream, e.g., test compound 152. However, the presence of test compounds which possess antagonistic or  
5 inhibitory activity toward the receptor-ligand interaction will result in lower levels of that interaction in those portions of the stream where those compounds are located, e.g., test compound 154. Further, differential flow rates for  
10 the receptor bound fluorescent ligand and free fluorescent ligand will result in a detectable drop in the level of fluorescence which corresponds to the dilution of the fluorescence resulting from unbound, faster moving receptor. The drop in fluorescence is then followed by an increase in fluorescence 156 which corresponds to an accumulation of the slower moving, unbound fluorescent ligand.

In some cases, it may be desirable to provide an additional channel for shunting off or extracting the reaction mixture slug from the running buffer and/or spacer compounds. This may be the case where one wishes to keep the reaction elements contained within the sample plug during the reaction, while allowing these elements to be separated during a data acquisition stage. As described previously, one can keep the various elements of the reaction together in the sample plug that is moving through the reaction channel by incorporating appropriate spacer fluids between samples. Such spacer fluids are generally selected to retain the samples within their original slugs, i.e., not allowing smearing of the sample into the spacer fluid, even during prolonged reaction periods. However, this goal can be at odds with those assays which are  
30 based upon the separation of elements of the assay, e.g., ligand-receptor assays described above, or where a reaction product must be separated in a capillary.

A schematic illustration of one embodiment of a device 500 for performing this sample shunting or extraction is shown in Figure 5. As shown, the samples or test compounds 504 are introduced to the device or chip via the sample channel 512. Again, these are typically introduced via an appropriate sample injection device 506, e.g., a capillary

5 pipettor. The ionic strength and lengths of the spacer solution plugs 502 and guard band plugs 508 are selected such that those samples with the highest electrophoretic mobility will not migrate through the spacer fluid/guard bands in the length of time that it takes the sample to travel down the reaction channel.

Assuming a receptor ligand assay system, test compounds pass into the device 500 and into reaction channel 510, where they are first combined with the receptor. The 10 test compound/receptor are flowed along the reaction channel in the incubation zone 510a. Following this initial incubation, the test compound/receptor mix is combined with a labelled ligand (e.g., fluorescent ligand) whereupon this mixture flows along the second incubation region 510b of reaction channel 510. The lengths of the incubation regions and the flow rates of the system (determined by the potentials applied at each of the reservoirs 514, 516, 518, 520, 522, and at the terminus of sample channel 512) determine the time of 15 incubation of the receptor with the fluorescent ligand and test compound. The ionic strengths of the solutions containing the receptors and fluorescent ligands, as well as the flow rates of material from the reservoirs housing these elements into the sample channel are selected so as to not interfere with the spacer fluid/guard bands.

25 The isolated sample plugs containing receptor, fluorescent ligand and test compound are flowed along the reaction channel 510 by the application of potentials at, e.g., reservoirs 514, 516, 518 and at the terminus of sample channel 512. Potentials are also applied at reservoirs 520 30 and 522, at the opposite ends of separation channel 524, to match the potentials at the two ends of the transfer channel, so that the net flow across the transfer channel is zero. As the sample plug passes the intersection of reaction channel 510 and transfer channel 526, the potentials are allowed to 35 float at reservoirs 518 and 522, whereupon the potentials applied at reservoirs 514, 516, 520, and at the terminus of sample channel 512, result in the sample plug being shunted through transfer channel 526 and into separation channel 524.

OIG:ETT:BBG:TEZ:60

Once in the separation channel, the original potentials are reapplied to all of the reservoirs to stop the net fluid flow through transfer channel 526. The diversion of the sample plugs can then be repeated with each subsequent sample plug.

5 Within the separation channel, the sample plug may be exposed to different conditions than those of the reaction channel.

For example, a different flow rate may be used, capillary treatments may allow for separation of differentially charged or different sized species, and the like. In a preferred 10 aspect, samples are shunted into the separation channel to place the samples into a capillary filled with high ionic strength buffer, i.e., to remove the low ionic strength spacer, thereby allowing separation of the various sample components outside the confines of the original sample plug.

15 For example, in the case of the above-described receptor/ligand screen, the receptor/ligand complex may have a different electrophoretic mobility from the ligand alone, in the transfer channel, thereby allowing more pronounced separation of the complex from the ligand, and its subsequent detection.

Such modifications have a wide variety of uses, particularly where it may be desirable to separate reaction products following reaction, e.g., in cleavage reactions, fragmentation reactions, PCR reactions, and the like.

25       B. Serial in Parallel Assay Systems

More complex systems can also be produced within the scope of the present invention. For example, a schematic illustration of one alternate embodiment employing a "serial input parallel reaction" geometry is shown in Figure 3. As 30 shown, the device 300 again includes a planar substrate 302 as described previously. Fabricated into the surface of the substrate 302 are a series of parallel reaction channels 312-324. Also shown are three transverse channels fluidly connected to each of these parallel reaction channels. The 35 three transverse channels include a sample injection channel 304, an optional seeding channel 306 and a collection channel 308. Again, the substrate and channels are generally fabricated utilizing the materials and to the dimensions

generally described above. Although shown and described in terms of a series of parallel channels, the reaction channels may also be fabricated in a variety of different orientations. For example, rather than providing a series of parallel  
5 channels fluidly connected to a single transverse channel, the channels may be fabricated connecting to and extending radially outward from a central reservoir, or may be arranged in some other non-parallel fashion. Additionally, although  
10 shown with three transverse channels, it will be recognized that fewer transverse channels may be used where, e.g., the biochemical system components are predisposed within the device. Similarly, where desired, more transverse channels  
15 may be used to introduce further elements into a given assay screen. Accordingly, the serial-in- parallel devices of the present invention will typically include at least two and preferably three, four, five or more transverse channels. Similarly, although shown with 7 reaction channels, it will be readily appreciated that the microscale devices of the present invention will be capable of comprising more than 7 channels,  
20 depending upon the needs of the particular screen. In preferred aspects, the devices will include from 10 to about 500 reaction channels, and more preferably, from 20 to about 200 reaction channels.

This device may be particularly useful for screening test compounds serially injected into the device, but employing a parallel assay geometry, once the samples are introduced into the device, to allow for increased throughput.  
25

In operation, test compounds are serially introduced into the device, separated as described above, and flowed along the transverse sample injection channel 304 until the separate test compounds are adjacent the intersection of the sample channel 304 with the parallel reaction channels 310-324. As shown in Figures 4A-4F, the test compounds may be provided immobilized on individual beads. In those cases  
30 where the test compounds are immobilized on beads, the parallel channels may be optionally fabricated to include bead resting wells 326-338 at the intersection of the reaction channels with the sample injection channel 304. Arrows 340  
35

00 400 400 400 400 400 400

indicate the net fluid flow during this type of sample/bead injection. As individual beads settle into a resting well, fluid flow through that particular channel will be generally restricted. The next bead in the series following the  
5 unrestricted fluid flow, then flows to the next available resting well to settle in place.

Once in position adjacent to the intersection of the parallel reaction channel and the sample injection channel, the test compound is directed into its respective reaction channel by redirecting fluid flows down those channels.

Again, in those instances where the test compound is immobilized on a bead, the immobilization will typically be via a cleavable linker group, e.g., a photolabile, acid or base labile linker group. Accordingly, the test compound will typically need to be released from the bead, e.g., by exposure to a releasing agent such as light, acid, base or the like prior to flowing the test compound down the reaction channel.

Within the parallel channel, the test compound will be contacted with the biochemical system for which an effector compound is being sought. As shown, the first component of the biochemical system is placed into the reaction channels using a similar technique to that described for the test compounds. In particular, the particular biochemical system is typically introduced via one or more transverse seeding channels 306. Arrows 342 illustrate the direction of fluid flow within the seeding channel 306. The biochemical system may be solution based, e.g., a continuously flowing enzyme/substrate or receptor ligand mixture like that described above, or as shown in Figures 4A-4F, may be a whole cell or bead based system, e.g., beads which have enzyme/substrate systems immobilized thereon.

In those instances where the biochemical system is incorporated in a particle, e.g., a cell or bead, the parallel channel may include a particle retention zone 344. Typically, such retention zones will include a particle sieving or filtration matrix, e.g., a porous gel or microstructure which retains particulate material but allows the free flow of fluids. Examples of microstructures for this filtration

include, e.g., those described in U.S. Patent No. 5,304,487, which is hereby incorporated by reference in its entirety for all purposes. As with the continuous system, fluid direction within the more complex systems may be generally controlled using microfabricated fluid direction structures, e.g., pumps and valves. However, as the systems grow more complex, such systems become largely unmanageable. Accordingly, electroosmotic systems, as described above, are generally preferred for controlling fluid in these more complex systems.

Typically, such systems will incorporate electrodes within reservoirs disposed at the termini of the various transverse channels to control fluid flow thorough the device. In some aspects, it may be desirable to include electrodes at the

aspects, it may be desirable to include electrodes at the termini of all the various channels. This generally provides for more direct control, but also grows less manageable as systems grow more complex. In order to utilize fewer electrodes and thus reduce the potential complexity, it may often be desireable in parallel systems, e.g., where two fluids are desired to move at similar rates in parallel channels, to adjust the geometries of the various flow channels. In particular, as channel length increases, resistance along that channel will also increase. As such, flow lengths between electrodes should be designed to be substantially the same regardless of the parallel path chosen.

This will generally prevent the generation of transverse electrical fields and thus promote equal flow in all parallel channels. To accomplish substantially the same resistance between the electrodes, one can alter the geometry of the channel structure to provide for the same channel length, and thus, the channel resistance, regardless of the path travelled. Alternatively, resistance of channels may be adjusted by varying the cross-sectional dimensions of the paths, thereby creating uniform resistance levels regardless of the path taken.

As the test compounds are drawn through their respective parallel reaction channels, they will contact the biochemical system in question. As described above, the particular biochemical system will typically include a

flowable indicator system which indicates the relative functioning of that system, e.g., a soluble indicator such as chromogenic or fluorogenic substrate, labelled ligand, or the like, or a particle based signal, such as a precipitate or bead bound signalling group. The flowable indicator is then flowed through the respective parallel channel and into the collection channel 308 whereupon the signals from each of the parallel channels are flowed, in series, past the detection window, 116.

Figures 4A-4F, with reference to Figure 3, show a schematic illustration of the progression of the injection of test compounds and biochemical system components into the "serial input parallel reaction" device, exposure of the system to the test compounds, and flowing of the resulting signal out of the parallel reaction channels and past the detection window. In particular, Figure 4A shows the introduction of test compounds immobilized on beads 346 through sample injection channel 304. Similarly, the biochemical system components 348 are introduced into the reaction channels 312-324 through seeding channel 306. Although shown as being introduced into the device along with the test compounds, as described above, the components of the model system to be screened may be incorporated into the reaction channels during manufacture. Again, such components may be provided in liquid form or in lyophilized form for increased shelf life of the particular screening device.

As shown, the biochemical system components are embodied in a cellular or particle based system, however, fluid components may also be used as described herein. As the particulate components flow into the reaction channels, they may be retained upon an optional particle retaining matrix 344, as described above.

Figure 4B illustrates the release of test compounds from the beads 346 by exposing the beads to a releasing agent. As shown, the beads are exposed to light from an appropriate light source 352, e.g., which is able to produce light in a wavelength sufficient to photolyze the linker group, thereby

DOCKET - 61512-0001

releasing compounds that are coupled to their respective beads via a photolabile linker group.

In Figure 4C, the released test compounds are flowed into and along the parallel reaction channels as shown by arrows 354 until they contact the biochemical system components. The biochemical system components 348 are then allowed to perform their function, e.g., enzymatic reaction, receptor/ligand interaction, and the like, in the presence of the test compounds. Where the various components of the biochemical system are immobilized on a solid support, release of the components from their supports can provide the initiating event for the system. A soluble signal 356 which corresponds to the functioning of the biochemical system is then generated (Figure 4D). As described previously, a variation in the level of signal produced is an indication that the particular test compound is an effector of the particular biochemical system. This is illustrated by the lighter shading of signal 358.

In Figures 4E and 4F, the soluble signal is then flowed out of reactions channels 312-324 into the detection channel 308, and along the detection channel past the detection window 116.

Again, a detection system as described above, located adjacent the detection window will monitor the signal levels. In some embodiments, the beads which bore the test compounds may be recovered to identify the test compounds which were present thereon. This is typically accomplished by incorporation of a tagging group during the synthesis of the test compound on the bead. As shown, spent bead 360, i.e., from which a test compound has been released, may be transported out of the channel structure through port 362 for identification of the test compound that had been coupled to it. Such identification may be accomplished outside of the device by directing the bead to a fraction collector, whereupon the test compounds present on the beads may be identified, either through identification of a tagging group, or through identification of residual compounds.

Incorporation of tagging groups in combinatorial chemistry

DOCUMENT 80574260

methods has been previously described using encrypted nucleotide sequences or chlorinated/fluorinated aromatic compounds as tagging groups. See, e.g., Published PCT Application No. WO 95/12608. Alternatively, the beads may be transported to a separate assay system within the device itself whereupon the identification may be carried out.

Figure 6A shows an alternate embodiment of a "serial input parallel reaction" device which can be used for fluid based as opposed to bead based systems. As shown the device 10 generally incorporates at least two transverse channels as were shown in Figures 3 and 4, namely, sample injection channel 604 and detection channel 606. These transverse channels are interconnected by the series of parallel channels 612-620 which connect sample channel 604 to detection channel 15 606.

The device shown also includes an additional set of channels for directing the flow of fluid test compounds into the reaction channels. In particular, an additional transverse pumping channel 634 is fluidly connected to sample channel 604 via a series of parallel pumping channels 636-646. The pumping channel includes reservoirs 650 and 652 at its termini. The intersections of parallel channels 636-646 are staggered from the intersections of parallel channels 612-620 with sample channel 604, e.g., half way between. Similarly, 25 transverse pumping channel 608 is connected to detection channel 606 via parallel pumping channels 622-632. Again, the intersections of parallel pumping channels 622-632 with detection channel 606 are staggered from the intersections of reaction channels 612-620 with the detection channel 606.

A schematic illustration of the operation of this 30 system is shown in Figures 6B-6C. As shown, a series of test compounds, physically isolated from each other, are introduced into sample channel 604 using the methods described previously. For electrokinetic systems, potentials are applied at the terminus of sample channel 604, as well as reservoir 648. Potentials are also applied at reservoirs 650:652, 654:656, and 658:660. This results in a fluid flow along the transverse channels 634, 604, 606 and 608, as

illustrated by the arrows, and a zero net flow through the parallel channel arrays interconnecting these transverse channels, as shown in Figure 6B. Once the test compound slugs are aligned with parallel reaction channels 612-620, connecting sample channel 604 to detection channel 606, as shown by the shaded areas in Figure 6B, flow is stopped in all transverse directions by removing the potentials applied to the reservoirs at the ends of these channels. As described above, the geometry of the channels can be varied to maximize the use of space on the substrate. For example, where the sample channel is straight, the distance between reaction channels (and thus, the number of parallel reactions that can be carried out in a size limited substrate) is dictated by the distance between sample plugs. These restrictions, however, can be eliminated through the inclusion of altered channel geometries. For example, in some aspects, the length of a spacer/guard band plug can be accommodated by a serpentine, square-wave, saw tooth or other reciprocating channel geometry. This allows packing a maximum number of reaction channels onto the limited area of the substrate surface.

Once aligned with the parallel reaction channels, the sample is then moved into the parallel reaction channels 612-620 by applying a first potential to reservoirs 650 and 652, while applying a second potential to reservoirs 658 and 660, whereby fluid flow through parallel pumping channels 636-646 forces the test compounds into parallel reaction channels 612-620, as shown in Figure 6C. During this process, no potential is applied at reservoirs 648, 654, 656, or the terminus of sample channel 604. Parallel channels 636-646 and 622-632 are generally adjusted in length such that the total channel length, and thus the level of resistance, from reservoirs 650 and 652 to channel 604 and from reservoirs 658 and 660 to channel 606, for any path taken will be the same. Resistance can generally be adjusted by adjusting channel length or width. For example, channels can be lengthened by including folding or serpentine geometries. Although not shown as such, to accomplish this same channel length, channels 636 and 646 would be the longest and 640 and 642 the

shortest, to create symmetric flow, thereby forcing the samples into the channels. As can be seen, during flowing of the samples through channels 612-620, the resistance within these channels will be the same, as the individual channel length is the same.

Following the reaction to be screened, the sample plug/signal element is moved into detection channel 606 by applying a potential from reservoirs 650 and 652 to reservoirs 658 and 660, while the potentials at the remaining reservoirs are allowed to float. The sample plugs/signal are then serially moved past the detection window/detector 662 by applying potentials to reservoirs 654 and 656, while applying appropriate potentials at the termini of the other transverse channels to prevent any flow along the various parallel channels.

Although generally described in terms of screening assays for identification of compounds which affect a particular interaction, based upon the present disclosure, it will be readily appreciated that the above described microlaboratory systems may also be used to screen for compounds which specifically interact with a component of a biochemical system without necessarily affecting an interaction between that component and another element of the biochemical system. Such compounds typically include binding compounds which may generally be used in, e.g., diagnostic and therapeutic applications as targeting groups for therapeutics or marker groups, i.e. radionuclides, dyes and the like. For example, these systems may be used to screen test compounds for the ability to bind to a given component of a biochemical system.

### III. Microlaboratory System

Although generally described in terms of individual discrete devices, for ease of operation, the systems described will typically be a part of a larger system which can monitor and control the functioning of the devices, either on an individual basis, or in parallel, multi-device screens. An example of such a system is shown in Figures 7.

As shown in Figure 7, the system may include a test compound processing system 700. The system shown includes a platform 702 which can hold a number of separate assay chips or devices 704. As shown, each chip includes a number of discrete assay channels 706, each having a separate interface 708, e.g., pipettor, for introducing test compounds into the device. These interfaces are used to sip test compounds into the device, separated by sipping spacer fluid and guard band fluids, into the device. In the system shown, the interfaces of the chip are inserted through an opening 710 in the bottom of the platform 702, which is capable of being raised and lowered to place the interfaces in contact with test compounds or wash/spacer/guard band fluids, which are contained in, e.g., multiwell micro plates 711, positioned below the platform, e.g., on a conveyor system 712. In operation, multiwell plates containing large numbers of different test compounds are stacked 714 at one end of the conveyor system. The plates are placed upon the conveyor separated by appropriate buffer reservoirs 716 and 718, which may be filled by buffer system 720. The plates are stepped down the conveyor and the test compounds are sampled into the chips, interspersed by appropriate spacer fluids. After loading the test compounds into the chips, the multiwell plates are then collected or stacked 722 at the opposite end of the system.

The overall control system includes a number of individual microlaboratory systems or devices, e.g., as shown in Figure 7. Each device is connected to a computer system which is appropriately programmed to control fluid flow and direction within the various chips, and to monitor, record and analyze data resulting from the screening assays that are performed by the various devices. The devices will typically be connected to the computer through an intermediate adapter module which provides an interface between the computer and the individual devices for implementing operational instructions from the computer to the devices, and for reporting data from the devices to the computer. For example, the adapter will generally include appropriate connections to corresponding elements on each device, e.g., electrical leads connected to

the reservoir based electrodes that are used for electroosmotic fluid flow, power inputs and data outputs for detection systems, either electrical or fiberoptic, and data relays for other sensor elements incorporated into the devices. The adapter device may also provide environmental control over the individual devices where such control is necessary, e.g., maintaining the individual devices at optimal temperatures for performing the particular screening assays.

As shown, each device is also equipped with appropriate fluid interfaces, e.g., micropipettors, for introducing test compounds into the individual devices. The devices may be readily attached to robotic systems which allow test compounds to be sampled from a number of multiwell plates that are moved along a conveyor system. Intervening spacer fluids can also be introduced via a spacer solution reservoir.

#### Examples

An assay screen is performed to identify inhibitors of an enzymatic reaction. A schematic of the chip to be used is shown in Figure 8. The chip has a reaction channel 5 cm in length which includes a 1 cm incubation zone and a 4 cm reaction zone. The reservoir at the beginning of the sample channel is filled with enzyme solution and the side reservoir is filled with the fluorogenic substrate. Each of the enzyme and substrate are diluted to provide for a steady state signal in the linear signal range for the assay system, at the detector. Potentials are applied at each of the reservoirs (sample source, enzyme, substrate and waste) to achieve an applied field of 200 V/cm. This applied field produces a flow rate of 2 mm/second. During passage of a given sample through the chip, there will generally be a diffusive broadening of the sample. For example, in the case of a small molecule sample, e.g., 1 mM benzoic acid diffusive broadening of approximately 0.38 mm and an electrophoretic shift of 0.4 mm is seen.

Test compound plugs in 150 mM NaCl are introduced into the sample channel separated by guard bands of 150 mM NaCl and spacer plugs of 5 mM borate buffer. Once introduced into the sample channel shown, sample requires 12 seconds to

travel the length of the sample channel and reach the incubation zone of the reaction channel. This is a result of the flow rate of 2 mm/sec, allowing for 1 second for moving the sample pipettor from the sample to the spacer compounds.

5 Allowing for these interruptions, the net flow rate is 0.68 mm/sec. Another 12 seconds is required for the enzyme test compound mixture to travel through the incubation zone to the intersection with the substrate channel where substrate is continuously flowing into the reaction zone of the reaction

10 channel. Each test compound then requires 48 seconds to travel the length of the reaction zone and past the fluorescence detector. A schematic of timing for sample/spacer loading is shown in Figure 9. The top panel shows the sample/spacer/guard band distribution within a channel, whereas the lower panel shows the timing required for loading the channel. As shown, the schematic includes the loading (sipping) of high salt (HS) guard band ("A"), moving the pipettor to the sample ("B"), sipping the sample ("C"), moving the pipettor to the high salt guard band solution ("D")

15 sipping the high salt ("E"), moving the pipettor to the low salt (LS) spacer fluid ("F"), sipping the low salt spacer ("G") and returning to the high salt guard band ("H"). The process is then repeated for each additional test compound.

20

A constant base fluorescent signal is established at the detector in the absence of test compounds. Upon introduction of the test compounds, a decrease in fluorescence is seen which, based upon time delays corresponds to a specific individual test compound. This test compound is tentatively identified as an inhibitor of the enzyme, and further testing is conducted to confirm this and quantitate the efficacy of this inhibitor.

25

30 While the foregoing invention has been described in some detail for purposes of clarity and understanding, it will be clear to one skilled in the art from a reading of this disclosure that various changes in form and detail can be made without departing from the true scope of the invention. All publications and patent documents cited in this application are incorporated by reference in their entirety for all

35

purposes to the same extent as if each individual publication or patent document were so individually denoted.

WHAT IS CLAIMED IS:

1           1. A method of screening a plurality of test  
2 compounds for an effect on a biochemical system, comprising:  
3                 providing a substrate having at least a first  
4 surface, and at least two intersecting channels fabricated in  
5 said first surface, at least one of said at least two  
6 intersecting channels having at least one cross-sectional  
7 dimension in a range from 0.1 to 500  $\mu\text{m}$ ;  
8                 flowing a first component of a biochemical  
9 system in a first of said at least two intersecting channels;  
10                 flowing at least a first test compound from a  
11 second channel into said first channel whereby said first test  
12 compound contacts said first component of said biochemical  
13 system; and  
14                 detecting an effect of said at least first test  
15 compound on said biochemical system.

1           2. The method of claim 1, wherein said at least  
2 first component of a biochemical system produces a detectable  
3 signal representative of a function of said biochemical  
4 system.

1           3. The method of claim 1, wherein said at least  
2 first component further comprises an indicator compound which  
3 interacts with said first component to produce a detectable  
4 signal representative of a functioning of said biochemical  
5 system.

1           4. The method of claim 1, wherein said first  
2 component of a biochemical system comprises an enzyme and a  
3 substrate for said enzyme, wherein action of said enzyme on  
4 said substrate produces a detectable signal.

1           5. The method of claim 1, wherein said first  
2 component of a biochemical system comprises a receptor/ligand  
3 binding pair, wherein at least one of said receptor or ligand  
4 has a detectable signal associated therewith.

6. The method of claim 1, wherein said first component of a biochemical system comprises a receptor/ligand binding pair, wherein binding of said receptor to said ligand produces a detectable signal.

7. The method of claim 1, wherein said at least first component of a biochemical system is a biological barrier and said effect of said at least first test compound is an ability of said test compound to traverse said barrier.

8. The method of claim 7, wherein said barrier is selected from the group consisting of an epithelial or an endothelial layer.

9. The method of claim 1, wherein said at least first component of a biochemical system comprises cells, and said detecting step comprises determining an effect of said test compound on said cells.

10. The method of claim 9, wherein said cells are capable of producing a detectable signal corresponding to a cellular function, and said detecting step comprises detecting an effect of said test compound on said cellular function by detecting a level of said detectable signal.

11. The method of claim 9, wherein said detecting step comprises detecting an effect of said test compound on viability of said cells.

12. A method of screening a plurality of test compounds for an effect on a biochemical system, comprising:  
providing a substrate having at least a first surface, and at least two intersecting channels fabricated in said first surface, at least one of said at least two intersecting channels having at least one cross-sectional dimension in a range from 0.1 to 500  $\mu\text{m}$ ;

8                   continuously flowing a first component of a  
9 biochemical system in a first channel of said at least two  
10 intersecting channels;  
11                   periodically introducing a different test  
12 compound into said first channel from a second channel of said  
13 at least two intersecting channels; and  
14                   detecting an effect of said test compound on  
15 said at least first component of a biochemical system.

1                   13. The method of claim 12, wherein said step of  
2 periodically introducing comprises flowing a plurality of  
3 different test compounds into said first channel from a second  
4 channel of said at least two intersecting channels, each of  
5 said plurality of different test compounds being physically  
6 isolated from each other of said plurality of different test  
7 compounds.

1                   14. The method of claim 12, wherein said at least  
2 first component of a biochemical system produces a detectable  
3 signal representative of a function of said biochemical  
4 system.

1                   15. The method of claim 14, wherein said detecting  
2 comprises monitoring said detectable signal from said  
3 continuously flowing first component at a point on said first  
4 channel, said detectable signal having a steady state  
5 intensity, and wherein said effect of said interaction between  
6 said first component and said test compound comprises a  
7 deviation from said steady state intensity of said detectable  
8 signal.

1                   16. The method of claim 14, wherein said at least  
2 first component further comprises an indicator compound which  
3 interacts with said first component to produce a detectable  
4 signal representative of a functioning of said biochemical  
5 system.

00000000000000000000000000000000

1               17. The method of claim 16, wherein said first  
2 component of a biochemical system comprises an enzyme and said  
3 indicator compound comprises a substrate for said enzyme,  
4 wherein action of said enzyme on said substrate produces a  
5 detectable signal.

1               18. The method of claim 14, wherein said at least  
2 first component of a biochemical system comprises a  
3 receptor/ligand binding pair, wherein at least one of said  
4 receptor or ligand has a detectable signal associated  
5 therewith.

1                   19. The method of claim 18, wherein said receptor  
2 and said ligand flow along said first channel at different  
3 rates.

1                   20. The method of claim 14, wherein said first  
2 component of a biochemical system comprises a receptor/ligand  
3 binding pair, wherein binding of said receptor to said ligand  
4 produces a detectable signal.

1                   21. The method of claim 12, wherein said at least  
2 first component of a biochemical system comprises cells, and  
3 said detecting step comprises determining an effect of said  
4 test compound on said cells.

1                   22. The method of claim 21, wherein said cells are  
2 capable of producing a detectable signal corresponding to a  
3 cellular function, and said detecting step comprises detecting  
4 an effect of said test compound on said cellular function by  
5 detecting a level of said detectable signal.

1                   23. The method of claim 21, wherein said detecting  
2 step comprises detecting an effect of said test compound on  
3 viability of said cells.

1       24. A method of screening a plurality of different  
2 test compounds for an effect on a biochemical system,  
3 comprising:

4                 providing a substrate having at least a first  
5 surface, and a plurality of reaction channels fabricated in  
6 said first surface, each of said plurality of reaction  
7 channels being fluidly connected to at least two transverse  
8 channels fabricated in said surface;

9                 introducing at least a first component of a  
10 biochemical system into said plurality of reaction channels;

11                 flowing a plurality of different test compounds  
12 through at least one of said at least two transverse channels,  
13 each of said plurality of test compounds being introduced into  
14 said at least one transverse channels in a discrete volume;

15                 directing each of said plurality of different  
16 test compounds into a separate one of said plurality of  
17 reaction channels; and

18                 detecting an effect of each of said test  
19 compounds on said at least one component of said biochemical  
20 system.

1       25. The method of claim 24, wherein said at least  
2 first component of said biochemical system produces a flowable  
3 detectable signal representative of a function of said  
4 biochemical system.

1       26. The method of claim 25, wherein said detectable  
2 flowable signal produced in each of said plurality of reaction  
3 channels is flowed into and through said second transverse  
4 channel, each of said detectable flowable signals produced in  
5 each of said plurality of reaction channels being physically  
6 isolated from each other of said detectable flowable signals,  
7 whereupon each of said detectable flowable signals is  
8 separately detected.

1       27. The method of claim 25, wherein said flowable  
2 signal comprises a soluble signal.

1           28. The method of claim 27, wherein said soluble  
2       signal is selected from fluorescent or colorimetric signals.

1           29. The method of claim 24, wherein said at least  
2       first component further comprises an indicator compound which  
3       interacts with said first component to produce a detectable  
4       signal representative of a functioning of said biochemical  
5       system.

1           30. The method of claim 29, wherein said first  
2       component of a biochemical system comprises an enzyme and said  
3       indicator compound comprises a substrate for said enzyme,  
4       wherein action of said enzyme on said substrate produces a  
5       detectable signal.

1           31. The method of claim 24, wherein said at least  
2       first component of a biochemical system comprises a  
3       receptor/ligand binding pair, wherein at least one of said  
4       receptor or ligand has a detectable signal associated  
5       therewith.

1           32. The method of claim 24, wherein said first  
2       component of a biochemical system comprises a receptor/ligand  
3       binding pair, wherein binding of said receptor to said ligand  
4       produces a detectable signal.

1           33. The method of claim 24, wherein said at least  
2       first component of a biochemical system comprises cells, and  
3       said detecting step comprises determining an effect of said  
4       test compound on said cells.

1           34. The method of claim 33, wherein said cells are  
2       capable of producing a detectable signal corresponding to a  
3       cellular function, and said detecting step comprises detecting  
4       an effect of said test compound on said cellular function by  
5       detecting a level of said detectable signal.

RECEIVED - GOVERNMENT LIBRARY

1               35. The method of claim 34, wherein said detecting  
2 step comprises detecting an effect of said test compound on  
3 viability of said cells.

1               36. The method of claim 24, wherein each of said  
2 plurality of different test compounds is immobilized upon a  
3 separate bead, and said step of directing each of said  
4 plurality of different test compounds into a separate one of  
5 said plurality of reaction channels comprises:

6               lodging one of said separate beads at an  
7 intersection of said first transverse channel and each of said  
8 plurality of reaction channels; and

9               controllably releasing said test compounds from  
10 each of said separate beads into each of said plurality of  
11 reaction channels.

1               37. An apparatus for screening test compounds for  
2 an effect on a biochemical system, comprising:

3               a substrate having at least one surface;  
4               at least two intersecting channels fabricated  
5 into said surface of said substrate, at least one of said at  
6 least two intersecting channels having at least one cross-  
7 sectional dimension in the range from about 0.1 to about 500  
8  $\mu\text{m}$ ;

9               a source of a plurality different test  
10 compounds fluidly connected to a first of said at least two  
11 intersecting channels;

12               a source of at least one component of said  
13 biochemical system fluidly connected to a second of said at  
14 least two intersecting channels;

15               a fluid direction system for flowing said at  
16 least one component within said second of said at least two  
17 intersecting channels and for introducing said different test  
18 compounds from said first to said second of said at least two  
19 intersecting channels;

20               a cover mated with said surface; and

21                   a detection zone in said second channel for  
22 detecting an effect of said test compound on said biochemical  
23 system.

1                   38. The apparatus of claim 37, wherein said fluid  
2 direction system generates a continuous flow of said at least  
3 first component along said second of said at least two  
4 intersecting channels, and periodically injects a test  
5 compound from said first channel into said second channel.

1                   39. The apparatus of claim 37, further comprising a  
2 source of a second component of said biochemical system, and a  
3 third channel fabricated into said surface, said third channel  
4 fluidly connecting at least one of said at least two  
5 intersecting channels with said source of said second  
6 component of said biochemical system.

1                   40. The apparatus of claim 39, wherein said fluid  
2 direction system generates a continuous flow of a mixture of  
3 said first component and said second component along said  
4 second of said at least two intersecting channels, and  
5 periodically injects a test compound from said first channel  
6 into said second channel.

1                   41. The apparatus of claim 37, wherein said fluid  
2 direction system continuously flows said plurality of  
3 different test compounds from said first into said second of  
4 said at least two intersecting channels, each of said  
5 plurality of different test compounds being separated by a  
6 fluid spacer.

1                   42. The apparatus of claim 37, wherein said fluid  
2 direction system comprises:  
3                   at least three electrodes, each electrode being  
4 in electrical contact with said at least two intersecting  
5 channels on a different side of an intersection formed by said  
6 at least two intersecting channels; and

DOCUMENT-003670

7                   a control system for concomitantly applying a  
8     variable voltage at each of said electrodes, whereby movement  
9     of said test compounds or said at least first component in  
10    said at least two intersecting channels may be controlled.

1                   43. The apparatus of claim 37, wherein said  
2     detection system includes a detection window in said second  
3     channel.

1                   44. The apparatus of claim 43, wherein said  
2     detection system is a fluorescent detection system.

1                   45. The apparatus of claim 37, wherein said  
2     substrate is planar.

1                   46. The apparatus of claim 37, wherein said  
2     substrate comprises etched glass.

1                   47. The apparatus of claim 37, wherein said  
2     substrate comprises etched silicon.

1                   48. The apparatus of claim 37, further comprising  
2     an insulating layer disposed over said etched silicon  
3     substrate.

1                   49. The apparatus of claim 37, wherein said  
2     substrate is a molded polymer.

1                   50. The apparatus of claim 37, wherein said at  
2     least one component of a biochemical system comprises an  
3     enzyme, and a substrate which produces a detectable signal  
4     when reacted with said enzyme.

1                   51. The apparatus of claim 50, wherein said  
2     substrate is selected from the group consisting of chromogenic  
3     and fluorogenic substrates.

DISCFT-80352460

1               52. The apparatus of claim 37, wherein said at  
2               least first component of a biochemical system comprises a  
3               receptor/ligand binding pair, wherein at least one of said  
4               receptor or ligand has a detectable signal associated  
5               therewith.

1               53. The apparatus of claim 37, wherein said first  
2               component of a biochemical system comprises a receptor/ligand  
3               binding pair, wherein binding of said receptor to said ligand  
4               produces a detectable signal.

1               54. An apparatus for detecting an effect of a test  
2               compound on a biochemical system, comprising:

3                         a substrate having at least one surface;  
4                         a plurality of reaction channels fabricated  
5               into said surface;

6                         at least two transverse channels fabricated  
7               into said surface, each of said plurality of reaction channels  
8               being fluidly connected to a first of said at least two  
9               transverse channels at a first point in said reaction  
10              channels, and fluidly connected to a second of said at least  
11              two transverse channels at a second point in said reaction  
12              channels, said at least two transverse channels and said  
13              plurality of reaction channels each having at least one cross-  
14              sectional dimension in the range from about 0.1 to about 500  
15              μm;

16                 a source of at least one component of said  
17               biochemical system, said source of at least one component of  
18               said biochemical system being fluidly connected to each of  
19               said plurality of reaction channels;

20                 a source of test compounds fluidly connected to  
21               said first of said at least two transverse channels;

22                 a fluid direction system for controlling  
23               movement of said test compound and said at least one component  
24               within said at least two transverse channels and said  
25               plurality of reaction channels;

26                 a cover mated with said surface; and

DOCUMENT - GOVERNMENT

27                   a detection system for detecting an effect of  
28    said test compound on said biochemical system.

1                 55. The apparatus of claim 54, wherein said fluid  
2    control system comprises:

3                   a plurality of individual electrodes, each in  
4    electrical contact with each terminus of said at least two  
5    transverse channels; and

6                   a control system for concomitantly applying a  
7    variable voltage at each of said electrodes, whereby movement  
8    of said test compounds or said at least first component in  
9    said at least two transverse channels and said plurality of  
10   reaction channels may be controlled.

1                 56. The apparatus of claim 54, wherein each of said  
2    plurality of reaction channels comprises a bead resting well  
3    at said first point in said plurality of reaction channels.

1                 57. The apparatus of claim 54, wherein said source  
2    of at least one component of a biochemical system is fluidly  
3    connected to said plurality of reaction channels by a third  
4    transverse channel, said third transverse channel having at  
5    least one cross sectional dimension in a range of from 0.1 to  
6    500  $\mu\text{m}$  and being fluidly connected to each of said plurality  
7    of reaction channels at a third point in said reaction  
8    channels.

1                 58. The apparatus of claim 57, wherein said third  
2    point in said reaction channels is intermediate to said first  
3    and second points in said reaction channels.

1                 59. The apparatus of claim 58, further comprising a  
2    particle retention zone in each of said plurality of reaction  
3    channels, between said third and said second points in said  
4    plurality of reaction channels.

1                 60. The apparatus of claim 49, wherein said  
2    particle retention zone comprises a particle retention matrix.

1               61. The apparatus of claim 49, wherein said  
2 particle retention zone comprises a microstructural filter.

1               62. The apparatus of claim 54, wherein said  
2 plurality of reaction channels comprises a plurality of  
3 parallel reaction channels fabricated into said surface of  
4 said substrate and said at least two transverse channels are  
5 connected at opposite ends of each of said parallel reaction  
6 channels.

1               63. The apparatus of claim 54, wherein said at  
2 least two transverse channels are fabricated on said surface  
3 of said substrate in inner and outer concentric channels, and  
4 said plurality of reaction channels extend radially from said  
5 inner concentric channel to said outer concentric channel.

1               64. The apparatus of claim 63, wherein said  
2 detection system comprises a detection window in said second  
3 channel.

1               65. The apparatus of claim 64, wherein said  
2 detection system is a fluorescent detection system.

1               66. The apparatus of claim 54, wherein said  
2 substrate is planar.

1               67. The apparatus of claim 54, wherein said  
2 substrate comprises etched glass.

1               68. The apparatus of claim 54, wherein said  
2 substrate comprises etched silicon.

1               69. The apparatus of claim 54, further comprising  
2 an insulating layer disposed over said etched silicon  
3 substrate.

DOCUMENT EDITIONS LTD

1               70. The apparatus of claim 54, wherein said  
2 substrate is a molded polymer.

1               71. The apparatus of claim 54, wherein said at  
2 least one component of a biochemical system comprises an  
3 enzyme, and an enzyme substrate which produces a detectable  
4 signal when reacted with said enzyme.

1               72. The apparatus of claim 71, wherein said enzyme  
2 substrate is selected from the group consisting of chromogenic  
3 and fluorogenic substrates.

1               73. The apparatus of claim 54, wherein said at  
2 least first component of a biochemical system comprises a  
3 receptor/ligand binding pair, wherein at least one of said  
4 receptor or ligand has a detectable signal associated  
5 therewith.

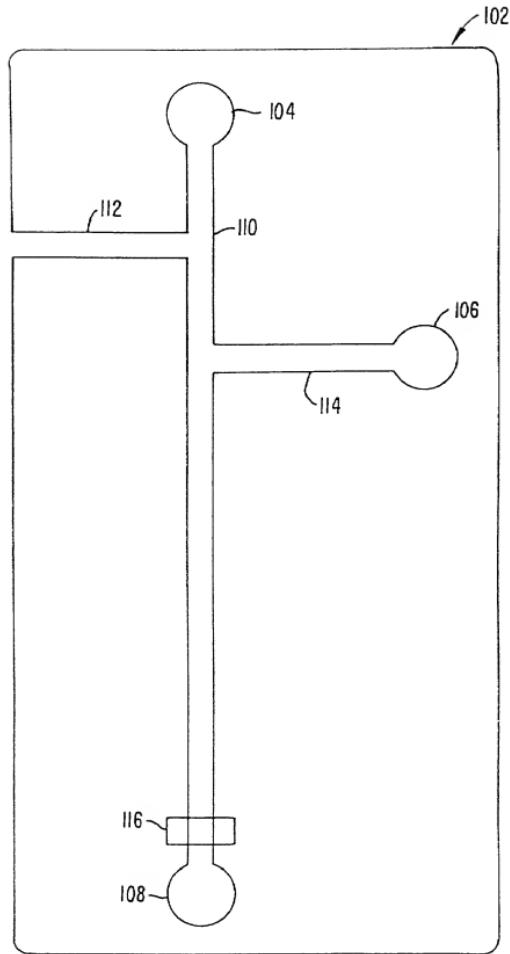
1               74. The apparatus of claim 54, wherein said first  
2 component of a biochemical system comprises a receptor/ligand  
3 binding pair, wherein binding of said receptor to said ligand  
4 produces a detectable signal.

CONFIDENTIAL

## ABSTRACT OF THE DISCLOSURE

5       The present invention provides novel microfluidic devices and methods that are useful for performing high-throughput screening assays. In particular, the devices and methods of the invention are useful in screening large numbers of different compounds for their effects on a variety of chemical, and preferably, biochemical systems.

00024358-432260



*FIG. 1.*

09724509 142200

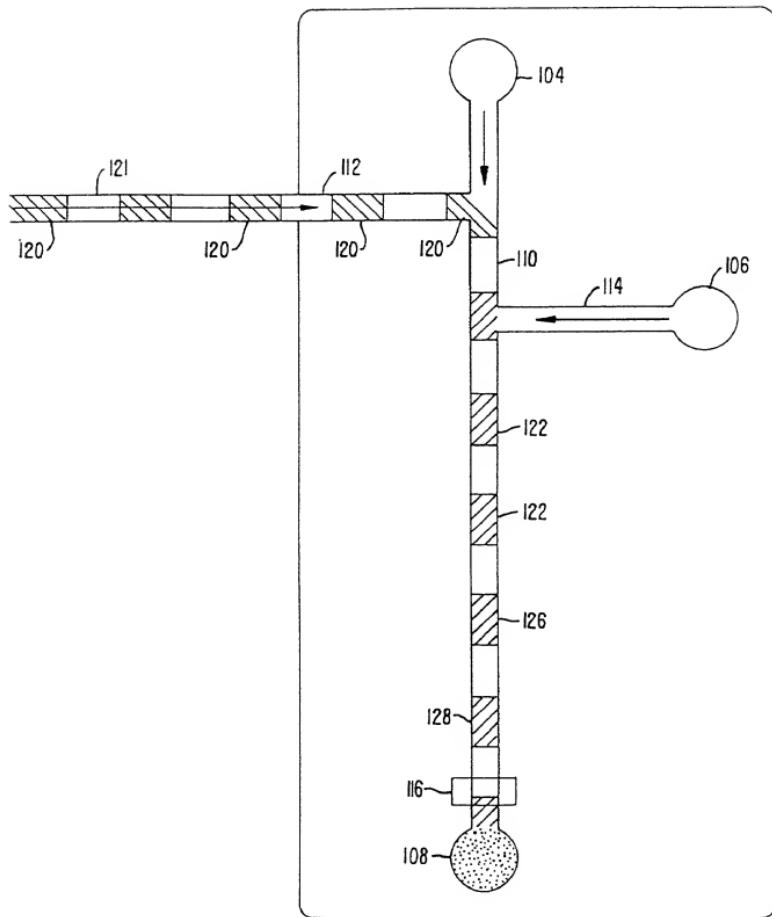


FIG. 2A.

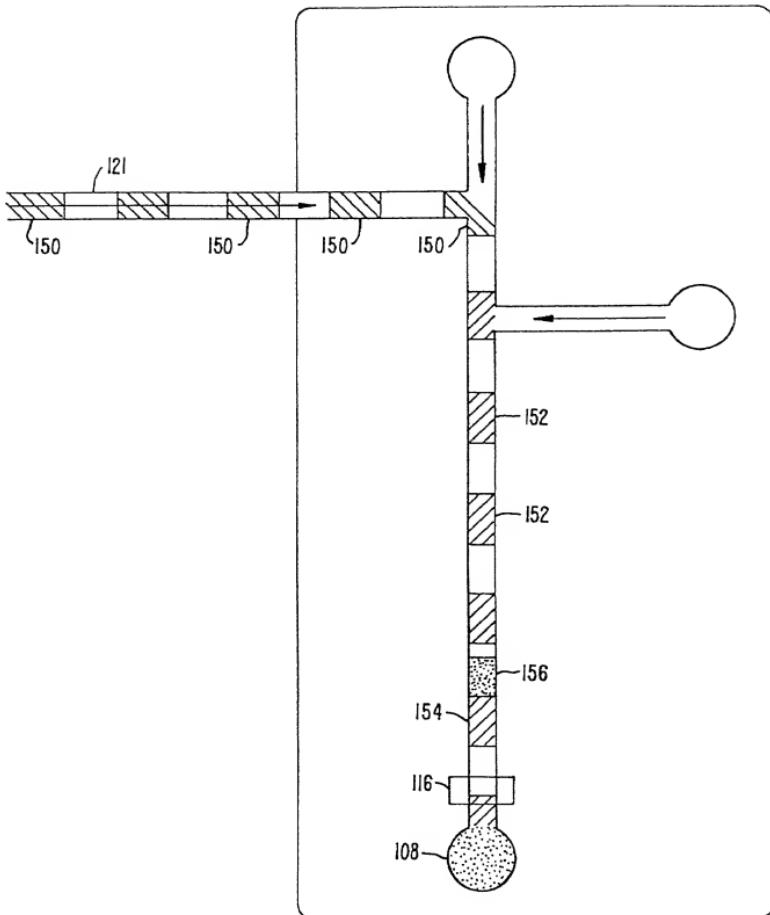
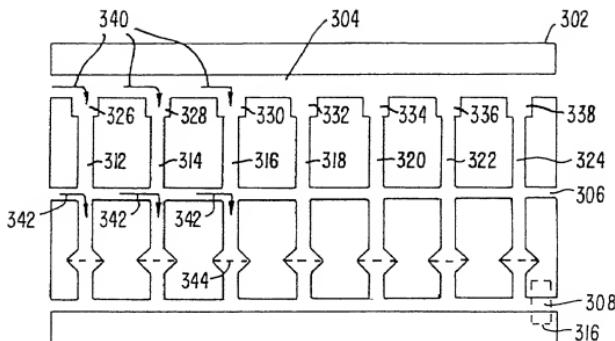


FIG. 2B.

300



*FIG. 3.*

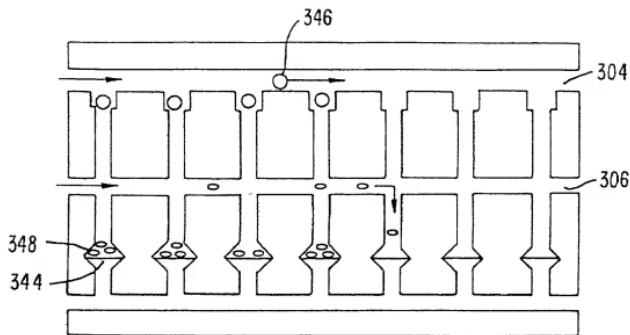


FIG. 4A.

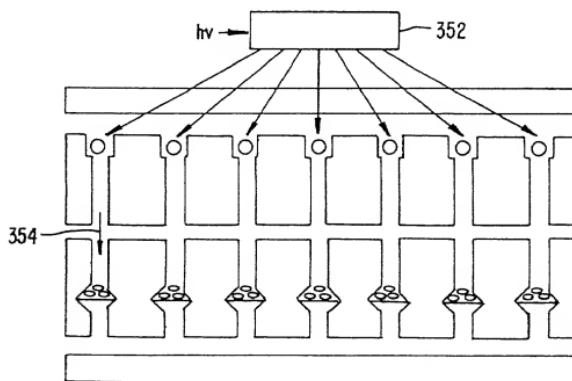


FIG. 4B.

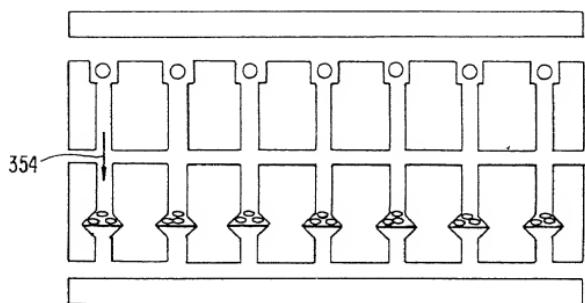


FIG. 4C.

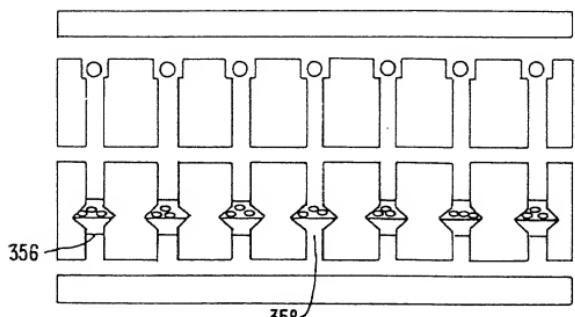


FIG. 4D.

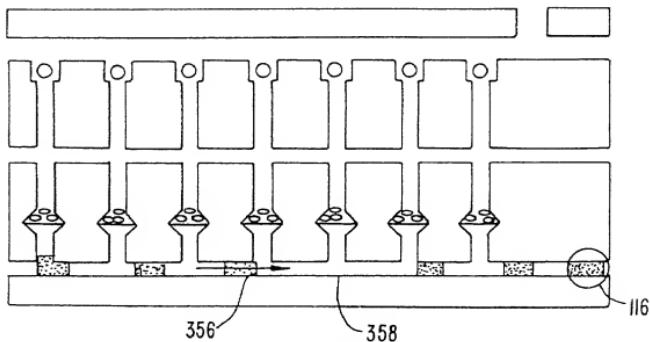


FIG. 4E.

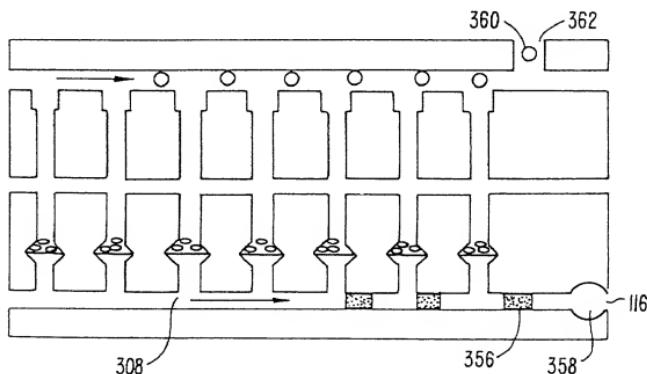


FIG. 4F.

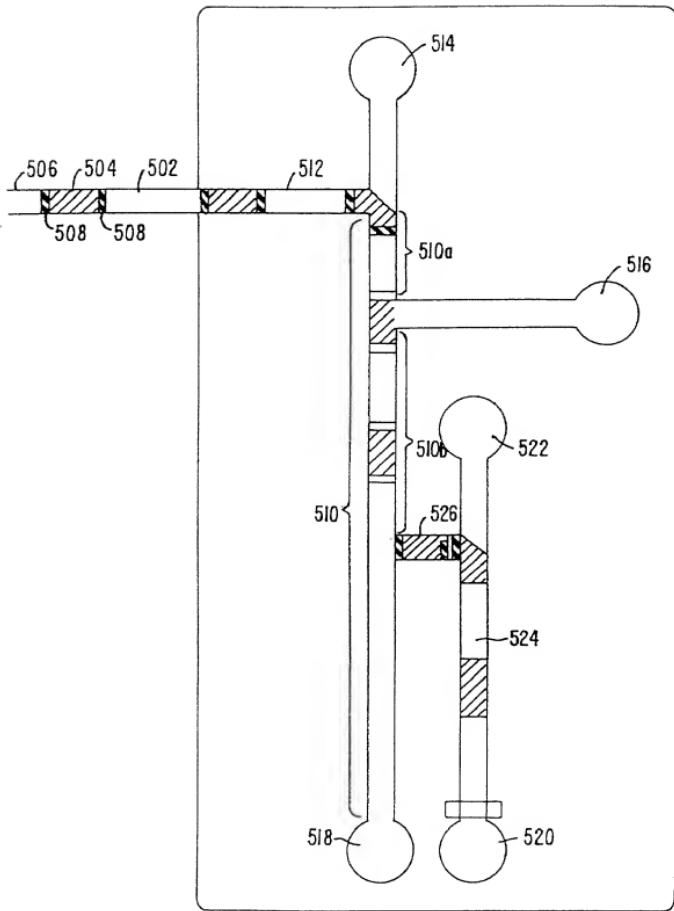


FIG. 5.

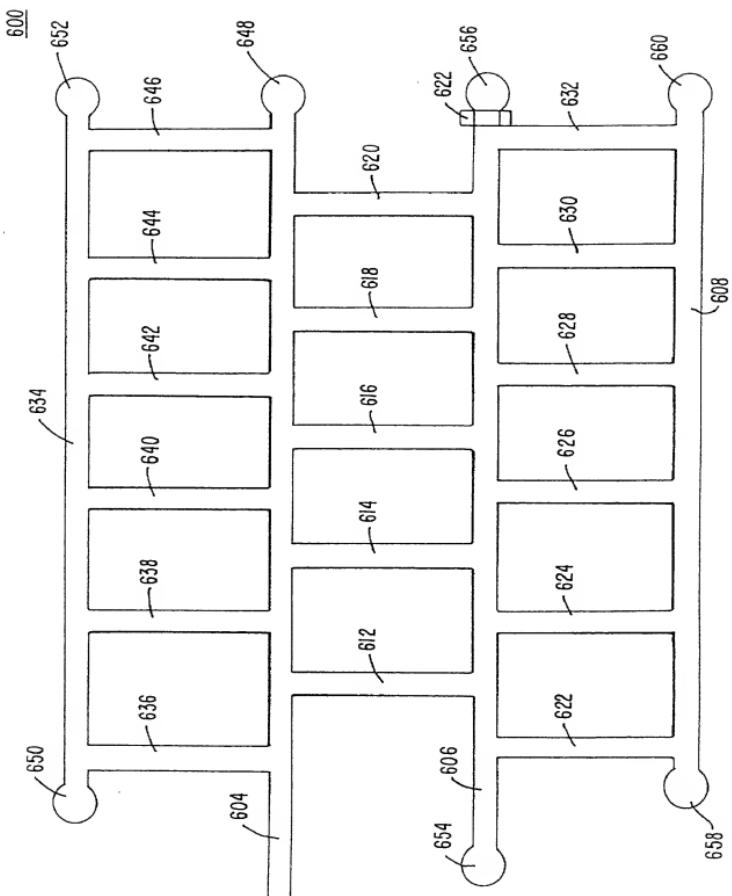
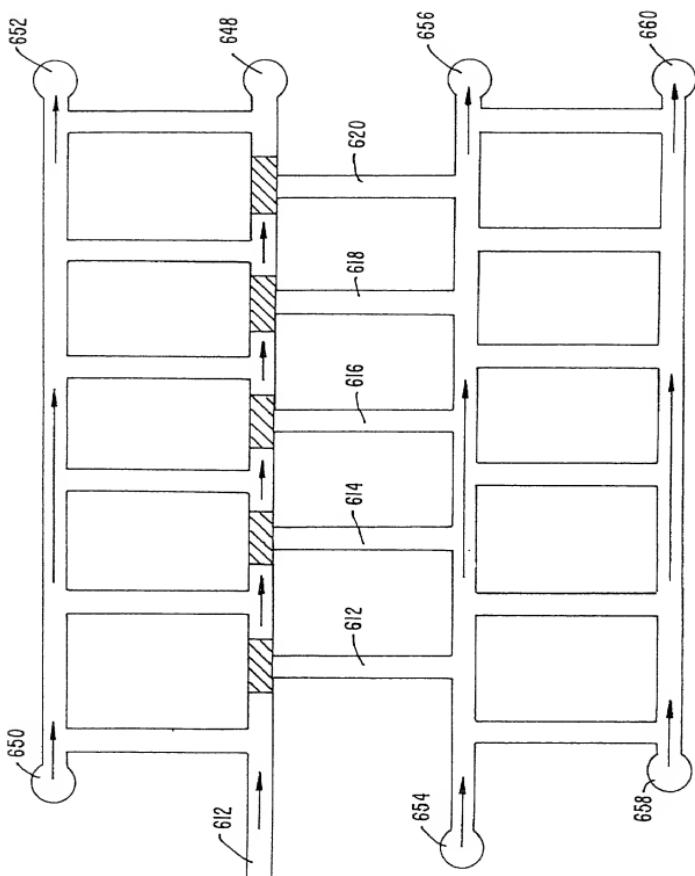


FIG. 6A.

FIG. 6B.



502574 - 835260

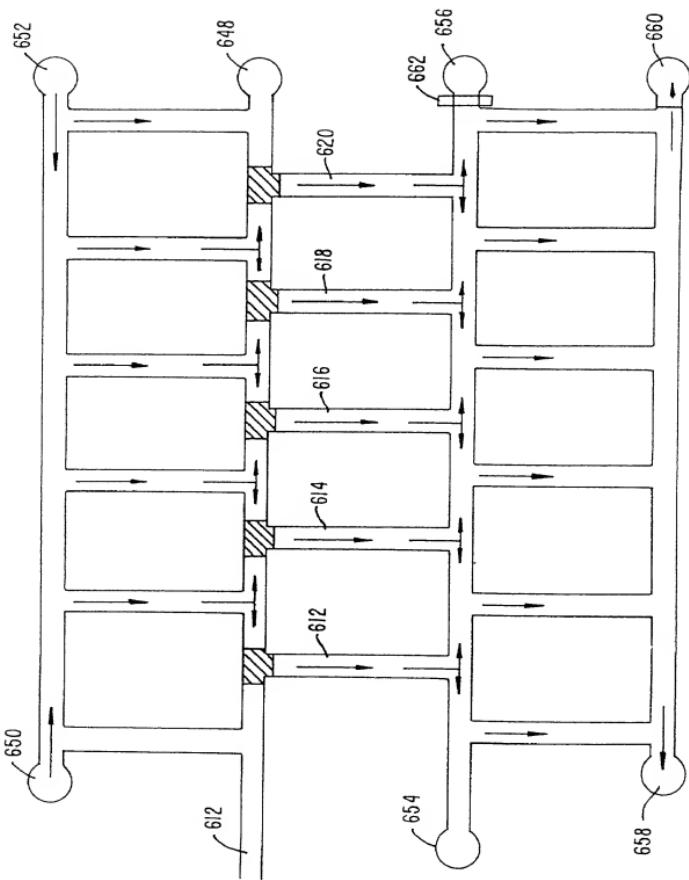
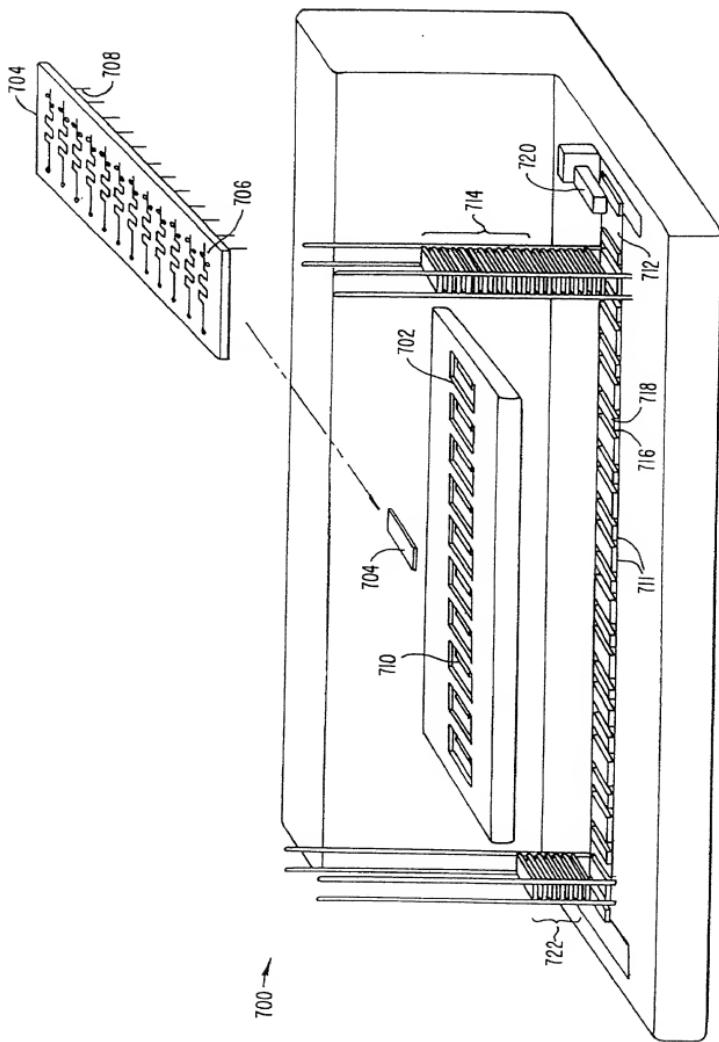


FIG. 6C.

*FIG. 7*



69271502-1410000

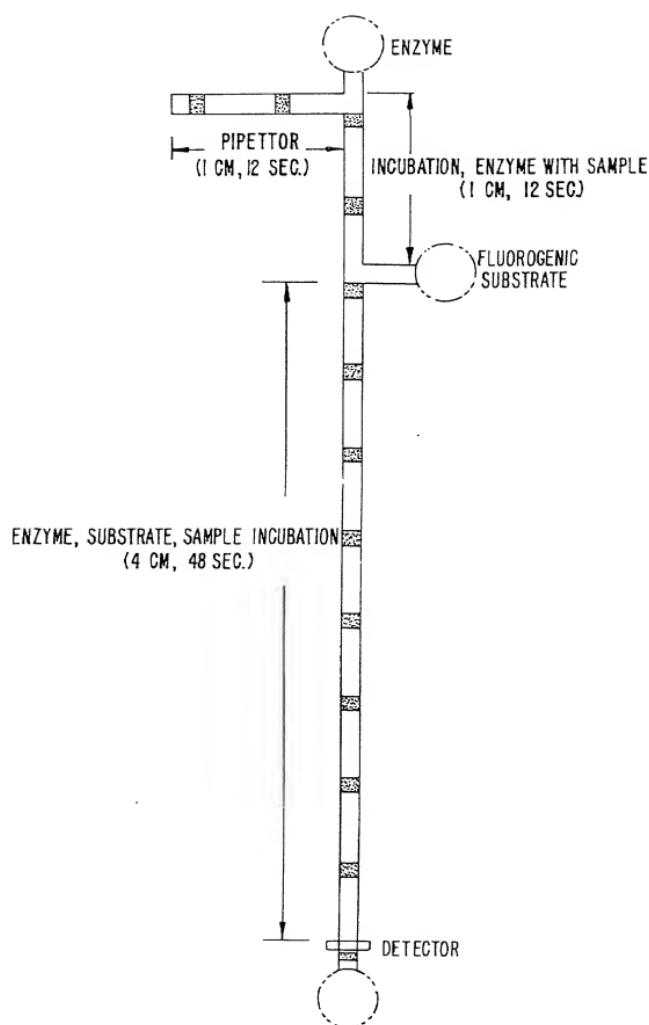
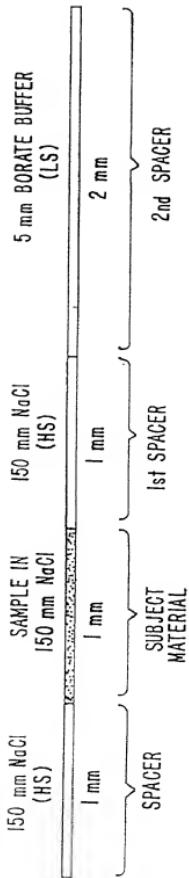
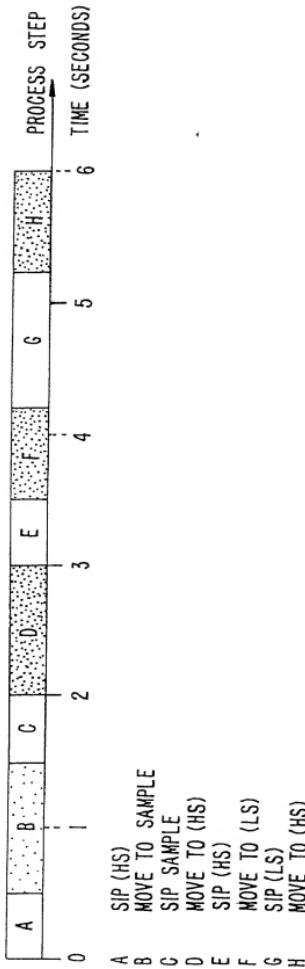


FIG. 8



SAMPLE ACQUISITION TIMING DIAGRAM



F/G. 9

## DECLARATION AND POWER OF ATTORNEY

COPY FROM PARENT

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **HIGH THROUGHPUT SCREENING ASSAY SYSTEMS IN MICROSCALE FLUIDIC DEVICES** the specification of which X is attached hereto or        was filed on        as Application No.        and was amended on        (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes <u>      </u> No <u>      </u>
			Yes <u>      </u> No <u>      </u>

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status
		<u>      </u> Patented <u>      </u> Pending <u>      </u> Abandoned
		<u>      </u> Patented <u>      </u> Pending <u>      </u> Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

William M. Smith, Reg. No. 30,223

Matthew B. Murphy, Reg. No. 39,787

Renee Fitts, Reg. No. 35,136

Send Correspondence to: <b>William M. Smith</b> <b>TOWNSEND and TOWNSEND and CREW LLP</b> <b>Two Embarcadero Center, 8th Floor</b> <b>San Francisco, CA 94111-3834</b>	Direct Telephone Calls to: (Name, Reg. No., Telephone No.)  <b>Name: William M. Smith</b> <b>Reg. No. 30,223</b> <b>Telephone: (415) 326-2400</b>
--	--

Full Name of Inventor 1	Last Name <b>Parce</b>	First Name <b>John</b>	Middle Name or Initial <b>Wallace</b>	
Residence & Citizenship	City <b>Palo Alto</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>U.S.A.</b>	
Post Office Address	Post Office Address <b>754 Los Robles Avenue</b>	City <b>Palo Alto</b>	State/Country <b>California</b>	Zip Code <b>94304</b>
Full Name of Inventor 2	Last Name <b>Kopf-Sill</b>	First Name <b>Anne</b>	Middle Name or Initial <b>R.</b>	
Residence & Citizenship	City <b>Portola Valley</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>U.S.A.</b>	
Post Office Address	Post Office Address <b>30 Minoca Road</b>	City <b>Portola Valley</b>	State/Country <b>California</b>	Zip Code <b>94028</b>
Full Name of Inventor 3	Last Name <b>Bousse</b>	First Name <b>Luc</b>	Middle Name or Initial <b>J.</b>	
Residence & Citizenship	City <b>Menlo Park</b>	State/Foreign Country <b>California</b>	Country of Citizenship <b>U.S.A.</b>	
Post Office Address	Post Office Address <b>311 Haight Street</b>	City <b>Menlo Park</b>	State/Country <b>California</b>	Zip Code <b>94025</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

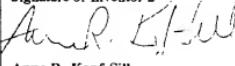
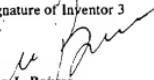
Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
 John Wallace Parce	 Anne R. Kopf-Sill	 Luc J. Bousse
Date 27 June 1996	Date 27 June 1996	Date 6/27 1996

TABLE 1

	6,103,199	Present Application
<b>Claim 1 in '199 patent.</b> <b>Claim 75 in present application.</b>	An apparatus for conducting a microfluidic process, said apparatus comprising:	The present invention generally provides methods and devices for microfluidic analysis. For example, Figure 7, element #704 illustrates an apparatus for microfluidic processes (Page 35, line 32, to page 37, line 15). In addition, page 7, lines 3-9, generally describes microfluidic devices for screening compounds.
	(a) a first plate comprising an array of sample [receiving elements] <b>access ports</b> adapted for receiving a plurality of samples from an array of sample containers and dispensing said samples, and	Figure 7, element #708, illustrates an array of sample receiving elements or access ports on microfluidic device 704. Page 15, lines 19-29, provides that the access ports for receiving samples are disposed within a first surface or cover layer. Figure 7, element #711, illustrates an array of sample containers from which access ports receive samples (page 36, lines 4-14). The samples are then dispensed into microfluidic device 704 through the access ports as described, e.g., on page 36, lines 4-9. See also, page 15, line 26, to page 16, line 30, for description of access ports and dispensing of samples.
	(b) a second plate integral with said first plate for receiving said dispensed samples, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process	Page 14, lines 25-35, describes microfluidic channels fabricated into a surface. Page 15, lines 19-29 provide that the surface or plate comprising the microfluidic channels is integral with another plate or cover layer comprising access ports. In addition, Figure 7, element #706 illustrates example microfluidic networks comprising channels and cavities.
<b>Claim 2 in '199 patent.</b> <b>Claim 76 in present application.</b>	An apparatus for conducting a microfluidic process, said apparatus comprising:	The present invention generally provides methods and devices for microfluidic analysis. For example, Figure 7, element #704, illustrates an apparatus for microfluidic processes (Page 35, line 32, to page 37, line 15). In addition, page 7, lines 3-9, generally describes microfluidic devices for screening compounds.
	(a) a first plate comprising an array of sample [receiving elements] <b>access ports</b> adapted for receiving a plurality of samples from an array of sample wells; and,	Figure 7, element #708, illustrates an array of sample receiving elements or access ports on microfluidic device 704. Page 15, lines 19-29, provides that the access ports for receiving samples are disposed within a first surface or cover layer. Figure 7, element #711, illustrates an array of sample containers, e.g., microwells, from which access ports receive samples (page 36, lines 4-14).
	(b) a second plate integral with said first plate, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process wherein each of said microfluidic networks is adapted for fluid communication with a corresponding sample [receiving element] <b>access port</b> of said first plate.	Page 14, lines 25-35, describes microfluidic channels fabricated into a surface. Page 15, lines 19-29 provide that the surface or plate comprising the microfluidic channels is integral with another plate or cover layer comprising access ports. In addition, Figure 7, element #706, illustrates example microfluidic networks comprising channels and cavities in fluid communication with a corresponding access port (Page 36, lines 4-9, and page 15, line 17 to page 16, line 30).
<b>Claim 3 in '199 patent.</b> <b>Claim 77 in present application.</b>	The apparatus of claim 2/76, wherein each of said sample [receiving elements] <b>access ports</b> comprises a [sample handling well] <b>reservoir or channel</b> that is in fluid communication with a corresponding capillary adapted to receive samples from one of said sample wells.	Figure 7, elements # 708 and # 711, illustrates an array of sample access ports/receiving elements and a sample source from which they receive samples. In addition, page 36, lines 4-14, describes pipettes or capillaries that are used with/comprise the sample access ports. Page 15, line 17, to page 16, line 30, also describes the access ports of the present invention in relation to reservoirs or channels for introduction into the device.

TABLE 1

<b>Claim 4, in '199 patent. Claim 78 in present application.</b>	The apparatus of claim 2/76, wherein said array of sample wells conforms to the format of a 96, 192, 384, or 1536 well plate.	Figure 7, elements # 708 and # 711, illustrates an array of sample access ports and a sample source, e.g., a microwell plate, from which they receive samples. In addition, this is described on page 36, lines 4-14.
<b>Claim 5 in '199 patent. Claim 79 in present application.</b>	The apparatus of claim 2/76 wherein each of said microfluidic networks comprises:	Figure 7, element #706, illustrates example microfluidic networks. (Page 36, lines 4-9, and page 15, line 17 to page 16, line 30)
	(a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample [receiving element] access port, and	Figure 7, element #706, illustrates a microfluidic network comprising channels and one or more cavity structures in fluid communication with a corresponding access port for receiving samples, e.g., from sample wells in element #711.
	(b) one or more additional cavity structures in fluid communication with said sample receiving cavity structure.	Figure 7, element #706, illustrates a microfluidic network comprising channels and one or more cavity structures in fluid communication with a corresponding access port, e.g., for performing microfluidic analysis.
<b>Claim 6 in '199 patent. Claim 80 in present application.</b>	The apparatus of claim 2/76 wherein each of said microfluidic networks comprises:	Figure 7, element #706, illustrates example microfluidic networks. (Page 36, lines 4-9, and page 15, line 17 to page 16, line 30).
	(a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample [receiving element] access port,	Figure 7, element #706, illustrates a microfluidic network comprising channels and one or more cavity structures in fluid communication with a corresponding access port for receiving samples, e.g., from sample wells in element #711. Page 36, lines 4-7, illustrates individual cavity structures with interface systems for introducing samples into microfluidic networks.
	(b) one or more waste cavity structures in [capillary] fluid communication with said sample receiving cavity structure,	Waste reservoirs or cavities are described in relation to microfluidic networks, e.g., on page 17, lines 29-33.
	(c) one or more buffer containing structures in [capillary] fluid communication with said sample receiving cavity structure.	Buffer reservoirs or cavities are described on page 18, lines 7-14, e.g., in relation to their use with microfluidic networks.
<b>Claim 7 in '199 patent. Claim 81 in present application.</b>	The apparatus of claim 6/80 wherein each of said microfluidic networks of cavity structures and channels comprises a tortuous path.	Page 34, lines 16-19, describes, e.g., serpentine and saw tooth channels.

TABLE 1

<b>Claim 8 in '199 patent.</b> <b>Claim 82 in present application.</b>	A kit comprising in packaged combination: (a) the apparatus of claim 17/5; and (b) reagents, other than reagents within said apparatus, for processing a sample.	Devices and reagents, e.g., pre-added reagents, are described, e.g., on page 18, lines 3-14. Reagents that can be added to the device and methods of doing so are described, e.g., on page 16, lines 1-30. In addition, various types of systems, reagents, and test compounds that can be studied using the devices of the invention are described, e.g., on page 7, lines 10-26, page 10, lines 5-19, and page 19, line 22, to page 20, line 33. See, also, Figure 7 illustrating addition of reagents to a microfluidic apparatus.
<b>Claim 10 in '199 patent.</b> <b>Claim 83 in present application.</b>	A method for processing an array of samples, said method comprising:	A method of processing multiple samples, e.g., an array of samples from a microwell plate, is described, e.g., on page 35, line 32, to page 37, line 15, describing the device in Figure 7 and its use in processing samples.
	(e) simultaneously transferring at least a portion of each sample in an array of sample wells to a corresponding array of sample [receiving elements] <u>access ports</u> that are part of a first plate comprising an array of sample [receiving elements] <u>access ports</u> adapted for receiving a plurality of samples from an array of sample wells,	Page 10, lines 29-32, describes simultaneous parallel screening, e.g., in a microwell array. In Figure 7, elements #708 illustrate an array of sample access ports into which samples are simultaneously transferred, e.g., from microwell plates. See, e.g., page 35, lines 4-15. The access ports are described e.g., on page 15, lines 19-29, providing that the access ports are disposed within a first surface or cover layer.
	(b) simultaneously transferring at least a portion of each sample from said sample [receiving elements] <u>access ports</u> to a corresponding array of microfluidic networks that is a part of a second plate integral with said first plate, said second plate comprising a planar array of microfluidic networks of cavity structures and channels for conducting a microfluidic process wherein each of said microfluidic networks is adapted for fluid communication with a corresponding sample [receiving element] <u>access port</u> , and	Figure 7 and the description thereof, e.g., page 35, line 32, to page 37, line 15, describe the transfer of reagents and samples from microwell plates (element 711) to sample access ports (element 708) to microfluidic networks (element 706). Page 10, lines 29-32, describes simultaneous parallel screening, e.g., in a microwell array. Page 14, lines 25-35, describes microfluidic channels fabricated into a surface. Page 15, lines 19-29, provides that the surface or plate comprising the microfluidic channels is integral with another plate or cover layer comprising access ports.
	(c) processing said array of samples.	Page 17, line 29, to page 18, line 21, describes processing sample compounds in example devices.
<b>Claim 11 in '199 patent.</b> <b>Claim 84 in present application.</b>	The method of claim 10/83, wherein said processing comprises conducting an analysis.	Page 17, line 29, to page 18, line 21, describes processing sample compounds, e.g., analysis and detection. In addition, page 14, lines 1-2, teaches that the devices of the invention can be used for analysis or synthesis.
<b>Claim 12 in '199 patent.</b> <b>Claim 85 in present application.</b>	The method of claim 10/83, wherein said processing comprises conducting a chemical synthesis.	Page 14, lines 1-2, teaches that the devices of the invention can be used for analysis or synthesis.

TABLE 1

<b>Claim 13 in '199 patent. Claim 86 in present application.</b>	The method of claim 10/83, wherein each of said sample [receiving elements] <u>access ports</u> comprises a [sample handling well] <u>reservoir or channel</u> that is in fluid communication with a corresponding capillary to receive sample from one of said sample wells.	Figure 7, elements # 708 and # 711, illustrates an array of sample access ports or capillaries and a sample source from which they receive samples. Sample reservoirs and channels and the introduction of samples into them are described, e.g., on page 16, lines 1-35.
<b>Claim 14 in '199 patent. Claim 87 in present application.</b>	The method of claim 10/83, wherein said array of sample wells conforms to the format of a 96, 192, 384, or 1536 well plate.	Figure 7, elements # 708 and # 711, illustrates an array of sample access ports and a sample source, e.g., a microwell plate, from which they receive samples. In addition, this is described on page 36, lines 4-14.
<b>Claim 15 in '199 patent. Claim 88 in present application.</b>	The method of claim 10/83 wherein each of said microfluidic networks comprises:	Microfluidic networks are described generally, e.g., on page 14, line 25, to page 15, line 37.
	(a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample [receiving element] <u>access port</u> ; and,	Sample cavity structures used to introduce samples into devices from sample access ports or sources are described, e.g., on page 15, lines 30-37. Figure 7 illustrates multiple access ports with multiple corresponding networks.
	(b) one or more additional cavity structure in fluid communication with said sample receiving cavity structure.	Additional cavity structures, such as waste and buffer reservoirs, are described, e.g., on page 17, lines 29-33, and on page 18, lines 7-14.
<b>Claim 16 in '199 patent. Claim 89 in present application.</b>	The method of claim 10/83 wherein each of said microfluidic networks comprises:	Microfluidic networks are described generally, e.g., on page 14, line 25, to page 15, line 37.
	(a) a sample receiving cavity structure adapted for receiving sample from said corresponding sample [receiving element] <u>access port</u> ,	Sample channel structures used to introduce samples into devices from sample access ports or sources are described, e.g., on page 15, lines 30-37. Figure 7 illustrates multiple access ports with multiple corresponding networks.
	(b) one or more waste cavity structures in [capillary] fluid communication with said sample receiving cavity structure,	Waste reservoirs or cavities are described in relation to microfluidic networks, e.g., on page 17, lines 29-33.
	(c) one or more buffer containing structures in [capillary] fluid communication with said sample receiving cavity structure.	Buffer reservoirs or cavities are described on page 18, lines 7-14, e.g., in relation to their use with microfluidic networks.
<b>Claim 17 in '199 patent. Claim 90 in present application.</b>	The method of claim 10/83, wherein each of said microfluidic networks of interconnected cavity structures and channels of capillary dimension each comprises a tortuous path.	Page 34, lines 16-19, describes, e.g., serpentine and saw tooth channels.